

UNIVERSITÄTSKLINIKUM HAMBURG-EPPENDORF

Forschungsbereich Bildgebung der Klinik für Psychiatrie und Psychotherapie

Klinikdirektor Prof. Dr. Jürgen Gallinat

Generators and connectivity of the early auditory evoked gamma band response

Dissertation

zur Erlangung des Grades eines Doktors der Medizin
an der Medizinischen Fakultät der Universität Hamburg.

vorgelegt von:

Nenad Polomac

aus

Gornji Milanovac, Serbien

Hamburg 2019

Angenommen von der Medizinischen Fakultät am: 16.01.2020

Veröffentlicht mit Genehmigung der Medizinischen Fakultät der Universität Hamburg

Prüfungsausschuss, der/die Vorsitzende: Prof. Dr. Christoph Mulert

Prüfungsausschuss, 2. Gutachter/in: PD Dr. Michael Rose

Table of Contents

Article	4
Presentation of the Article.....	18
Introduction	18
Materials and Methods.....	20
Participants	20
Paradigms.....	20
MEG data acquisition	20
Data preprocessing and aeGBR sensor level analysis.....	20
aeGBR source localization.....	21
Estimation of connectivity between ROIs.....	21
Statistics	22
Results.....	22
Discussion.....	24
Abstract.....	27
Zusammenfassung	28
References	29
Author's Contributions.....	33
Acknowledgments.....	34
Curriculum Vitae	35
Eidesstattliche Erklärung.....	36

Generators and Connectivity of the Early Auditory Evoked Gamma Band Response

Nenad Polomac¹ · Gregor Leicht¹ · Guido Nolte² · Christina Andreou¹ ·
Till R. Schneider² · Saskia Steinmann¹ · Andreas K. Engel² · Christoph Mulert¹

Received: 28 July 2014 / Accepted: 20 April 2015
© Springer Science+Business Media New York 2015

Abstract High frequency oscillations in the gamma range are known to be involved in early stages of auditory information processing in terms of synchronization of brain regions, e.g., in cognitive functions. It has been shown using EEG source localisation, as well as simultaneously recorded EEG-fMRI, that the auditory evoked gamma-band response (aeGBR) is modulated by attention. In addition to auditory cortex activity a dorsal anterior cingulate cortex (dACC) generator could be involved. In the present study we investigated aeGBR magnetic fields using magnetoencephalography (MEG). We aimed to localize the aeGBR sources and its connectivity features in relation to mental effort. We investigated the aeGBR magnetic fields in 13 healthy participants using a 275-channel CTF-MEG system. The experimental paradigms were two auditory choice reaction tasks with different difficulties and demands for mental effort. We performed source localization with eLORETA and calculated the aeGBR lagged phase synchronization between bilateral auditory cortices and frontal midline structures. The eLORETA analysis revealed sources of the aeGBR within bilateral auditory cortices and in frontal midline structures of the brain

including the dACC. Compared to the control condition the dACC source activity was found to be significantly stronger during the performance of the cognitively demanding task. Moreover, this task involved a significantly stronger functional connectivity between auditory cortices and dACC. In accordance with previous EEG and EEG-fMRI investigations, our study confirms an aeGBR generator in the dACC by means of MEG and suggests its involvement in the effortful processing of auditory stimuli.

Keywords dACC · Auditory early evoked gamma-band response · MEG · eLORETA · Lagged phase synchronization · Mental effort

Introduction

The auditory early evoked gamma-band magnetic fields were discovered more than 20 years ago and localized in the supratemporal auditory cortex (Pantev et al. 1991). The early auditory evoked gamma band response (aeGBR) occurs within 100 ms upon the presentation of an auditory stimulus, and typically consists of oscillations in a frequency range around 40 Hz that can be recorded with electroencephalography (EEG), magnetoencephalography (MEG) and electrocorticography (ECoG). The aeGBR is higher in response to target stimuli than non-target stimuli (Debener et al. 2003), and for louder sounds compared to soft ones (Schadow et al. 2007). Moreover, difficult tasks elicit stronger aeGBR than easy tasks (Mulert et al. 2007). In addition, speech sound aeGBR peaks earlier in the left than in the right hemisphere while completely opposite occurs to non-speech stimuli (Palva et al. 2002). The aeGBR has been increasingly in the focus of interest in recent years, as several EEG (Johannesen et al. 2008;

Electronic supplementary material The online version of this article (doi:10.1007/s10548-015-0434-6) contains supplementary material, which is available to authorized users.

✉ Christoph Mulert
c.mulert@uke.de

¹ Psychiatry Neuroimaging Branch, Department of Psychiatry and Psychotherapy, University Medical Center Hamburg-Eppendorf, Martinistrasse 52, 20246 Hamburg, Germany

² Department of Neurophysiology and Pathophysiology, University Medical Center Hamburg-Eppendorf, Hamburg, Germany

Leicht et al. 2010; Perez et al. 2013; Roach and Mathalon 2008) and MEG (Hirano et al. 2008) studies have reported reduced power and phase locking of this response in patients suffering from schizophrenia (for an extended review please see (Basar 2013; Uhlhaas and Singer 2010, 2013). Given the relevance of GABA-ergic interneurons and the NMDA receptor for gamma oscillations (Fuchs et al. 2007), research into the aeGBR might provide insights for neuropathophysiological mechanisms involved in schizophrenia.

Not only sensory, but also attentional processing has been suggested to contribute to the aeGBR (Leicht et al. 2010; Mulert et al. 2007; Tiitinen et al. 1993). Indeed, a close relationship to selective attention has been described for the 40 Hz aeGBR (Gurtubay et al. 2004; Senkowski et al. 2007; Tiitinen et al. 1997, 1993). Modulation of the aeGBR has been suggested to reflect top-down attentional processing of auditory stimuli (Debener et al. 2003; Schadow et al. 2009). According to the “match-and-utilization” model, the aeGBR is augmented whenever stimulus-related information matches the information loaded into short-term memory (Herrmann et al. 2004). For a review on the association between the aeGBR and attention please see (Fell et al. 2003; Herrmann et al. 2010).

The aeGBR has been invasively recorded in the primary auditory cortex of monkeys (Brosch et al. 2002; Stein-schneider et al. 2008) as well as in the primary auditory cortex of neurosurgical patients (Edwards et al. 2005). Furthermore, source localization methods have revealed generators of the aeGBR in the primary auditory cortex (Mulert et al. 2007; Pantev et al. 1991; Schadow et al. 2009) and the corticothalamic network (Ribary et al. 1991) of healthy volunteers. However, an additional generator was suggested to contribute to the aeGBR during demanding, effortful tasks (Ahveninen et al. 2000). This additional generator was indeed later localized in the dorsal anterior cingulate cortex (dACC) using EEG (Mulert et al. 2007) and simultaneous EEG-fMRI (Mulert et al. 2010), which offers high-resolution localization of EEG effects without being limited by the inverse problem (Béнар et al. 2010).

In order to understand the interplay between the dACC and the auditory cortices, it is important to mention evidence from non-human primates suggesting the existence of anatomical connections between the two areas. The dACC receives auditory input from the anterior medial claustrum, while there is a second minor input connection from the auditory association region of the superior temporal area 22 (Mega and Cummings 1996; Vogt and Pandya 1987). There are also backprojections extending from the dACC to the association region of the superior temporal area 22 (Pandya et al. 1981). Single trial coupling of the aeGBR and the corresponding BOLD signal revealed the

thalamus to be involved in a network including dACC and auditory cortex which is active in correspondence to the aeGBR (Mulert et al. 2010). This might be a correlate of thalamo-cortical interactions (Barth and MacDonald 1996; Roux et al. 2013) potentially established through cross-frequency coupling with theta oscillations (Buzsaki and Wang 2012; Jensen and Colgin 2007; Lisman and Jensen 2013). However, the cortex is able to generate gamma oscillations independently from subcortical input (Whittington et al. 1995) and cortico-cortical gamma band interactions have been found in the auditory domain (Bhattacharya et al. 2001; Mulert et al. 2011; Steinmann et al. 2014). Thus and in the light of previous studies suggesting alterations of the aeGBR as an intermediate phenotype of schizophrenia (Leicht et al. 2011), the present study focuses on the aeGBR and its cortical generators.

Several MEG studies have successfully localized various magnetic field responses in the anterior cingulate cortex e.g. (Hirata et al. 2007; Perianez et al. 2004). There have been MEG studies which explored the involvement of dACC in generation of gamma oscillations (Ahveninen et al. 2013; Sedley et al. 2012), however this is the first attempt to explore the potential involvement of the dACC in the generation of the aeGBR.

The aim of the present study was to confirm, using MEG, the presence of the neural generator of the aeGBR in the dACC, and further to investigate how task difficulty influences (1) the aeGBR in the auditory cortex and in the dACC, and (2) the functional connectivity between the two regions.

Materials and Methods

Participants

Participants were thirteen right-handed healthy individuals (three females; mean age 25.7 ± 6.5 years, range 18–39 years) with no history of neurological or psychiatric disorders. All subjects had audiometric thresholds that were 30 dB HL or better for octave frequencies between 125 and 8000 Hz. The study was approved by the local ethics committee, and informed consent was obtained from all participants prior to inclusion in the study. Participants received a monetary compensation of 15 Euro for the MEG recording session.

Paradigms

Two versions of an auditory reaction task used in previous studies of our group were administered (Leicht et al. 2010; Mulert et al. 2003, 2001; 2005b, 2007, 2008), an easy (easy condition, EC) and an attentionally demanding version

(difficult condition, DC). In the difficult condition (DC), three tones of different pitch (800, 1000 and 1200 Hz; 50 repetitions of each) were presented to participants through electrostatic headphones STAX-SR-003 (tube length 1 m) in pseudorandomized sequence and interstimulus intervals (ISI: 2.5–7.5 s, mean: 5 s). The duration of each tone was 100 ms, and the sound pressure level was set to 80 dB. Participants were instructed to respond as quickly and as accurately as possible to the low tone by pressing a button with the left index finger, and to the high tone with the right index finger (target tones). The middle tone was not a target for button presses. The easy condition (EC) contained 100 tones with a pitch of 800 Hz (ISI: 2.5–7.5 s, mean: 5 s), to which subjects were requested to respond by left index finger button press. Reaction times (time from stimulus onset to button press) and errors (pressing no button or pressing the wrong button within a timeframe of 150 and 2000 ms after onset of the stimulus) were registered. There was no significant difference between conditions with respect to error rates which were found to be 0.3 % (SD = 0.3 %) for EC and 4.6 % (SD = 5 %) for DC. Only trials with correct responses to target tones were considered for further analyses.

MEG Data Acquisition

MEG was recorded continuously using a 275-channel (first order axial gradiometers) whole-head system (Omega 2000, CTF Systems Inc.) in a magnetically shielded room. The Presentation[®] (Version 16.1; Neurobehavioral Systems, USA) software was used for stimulus presentation. MEG data for the two conditions were recorded in two separate consecutive blocks (DC always first). The head position relative to MEG sensors was monitored during each recording block using three fiducial points (nasion, left and right external ear canal). In order to minimize signal incongruence across subjects, special care was taken to place all subjects' heads inside the MEG dewar in a similar manner.

Maximum head displacement during the recording was 3.2 ± 1.9 mm for the DC blocks and 2.8 ± 1.5 mm for the EC blocks. There was no significant difference between conditions regarding the amount of head displacement ($t[12] = 1.4$; $p = 0.17$).

Data Preprocessing and aeGBR Sensor Level Analysis

Data analysis was conducted in Matlab (MathWorks[®]) using the open source toolbox Fieldtrip (Oostenveld et al. 2011). The MEG signal was low-pass filtered online (cut-off: 300 Hz) and recorded with a sampling rate of 1200 Hz. Offline, the data was segmented into 2-s trials centered around the auditory stimulus onset, filtered low-pass

(160 Hz) and high-pass (30 Hz, Butterworth filters order 4; padding with data to 10 s), filtered for line-noise with band-stop filters for 50, 100, and 150 Hz and demeaned. Trials containing prominent muscle artifacts or sensor jumps were semi-automatically detected and rejected from further analysis. This resulted in 81.8 ± 9.4 average trials per subject in DC and 85.3 ± 7.5 in EC. This is the final number of trials that were used for behavioral and electrophysiological analyses. There was no significant difference between conditions with respect to the number of trials ($t[12] = 1.3$; $p = 0.2$).

High-pass filtered data are very noisy and only few signal components can be discriminated against this noise. Furthermore and rather independently of the filtering, ICA can be very unstable for a large number of channels. We therefore used PCA (principal component analysis) to robustly reduce data dimensionality and to estimate eigenvalues of the principal components. The PCA was applied to appended trials and eigenvalues of principal components were calculated. Next, an independent component analysis (ICA, infomax extended ICA algorithm with PCA dimension reduction, stop criterion: weight change $<10^{-7}$) was performed on appended trials (Makeig et al. 1996) using only components with an eigenvalue higher than 2.5^{-27} , while components with smaller eigenvalues were considered to represent noise. We found this value empirically to result in a stable number of components of around 45 which is large enough to contain most of the signal and small enough to obtain stable ICA components. The average number of calculated independent components per subject was 44 ± 4.5 in DC and 44 ± 4.3 in EC. ICA components representing electrocardiographic artifacts and saccadic spike artifacts were identified and removed considering both topography and time course information. For the correction of saccadic spike artifacts we considered topographies suggested by (Carl et al. 2012).

In order to objectively remove remaining subtle tonic muscle artifacts we developed an algorithm that selected components representing muscle activity based on the power spectrum of each component. It is a well known fact that the power of an EEG/MEG signal approximately corresponds to $1/f$ (where f stands for frequency), which means that power declines with increasing frequency e.g. (Buzsaki and Draguhn 2004). This is not the case for muscle activity. Therefore, we correlated the power spectrum of each component derived from a discrete Fourier transform (Hanning taper; 30–120 Hz; 1 Hz steps) with the $1/f$ function, (for $f = 30, 31, 32, \dots, 120$). Components, for which the Pearson's correlation index between their power spectrum and the $1/f$ function was lower than $r = 0.7$, were considered to be of muscle origin. This way we ensured objectivity in selection of artifactual components, as well as equality of the variance removed in each condition. The

final number of rejected independent components was 27 ± 10.8 in DC and 27 ± 9.4 in EC. The remaining components were back-projected to the sensors level and in further text regarded as preprocessed single trials which were used in all analyses.

In order to depict a genuine topographic representation of the aeGBR, preprocessed averaged axial gradiometer data were transformed into planar gradiometer data (Bastiaansen and Knosche 2000) prior to a time–frequency analysis. Time–frequency analysis was performed using a sliding Hanning-window with 5 ms slide. The length of the time window used for time–frequency analysis was individually calculated for each frequency between 25 and 100 Hz (1 Hz steps; window length = $7/\text{frequency}$). Subsequently, frequency-wise baseline correction (subtraction) was applied for a pre-stimulus period of 500 ms.

aeGBR Source Localization

Head Model

In order to construct head models for source localization, we used individual T1-weighted structural magnetic resonance imaging (MRI) data for eight participants, while the standard “MNI152” brain template was used for the five remaining participants (MRI data not available). Individual MRI data were segmented using the SPM12b software (Friston et al. 1994), and the grey matter was used for further calculations. Individual head models were subsequently constructed in Fieldtrip using the realistic single shell method (Nolte 2003). We observed no significant differences with respect to main outcome measures (aeGBR power in the regions of interest in the auditory cortices and the dACC) between subjects with and without individual T-1 weighted structural images.

Cortical Grid and Leadfield

Using custom Matlab scripts, an initial cortical grid was created on the cortical surface of the “MNI152” (Mazziotta et al. 2001) brain template as a set of approx. 10,000 source points. Of these, we selected 2839 points that were as equally distributed as possible across the brain surface, using an iterative loop: a first source point was randomly selected among the original 10,000 source points. For all subsequent source points, we defined the N th + 1 source point as the point with the maximal distance to N previously selected source points, which (distance) was defined as the minimum of all N distances. The resulting cortical grid was warped for every subject such as to fit the individual MRI. For subjects without individual MRI data, no

warping was applied. Every source point represented an equivalent current dipole in the individual source model.

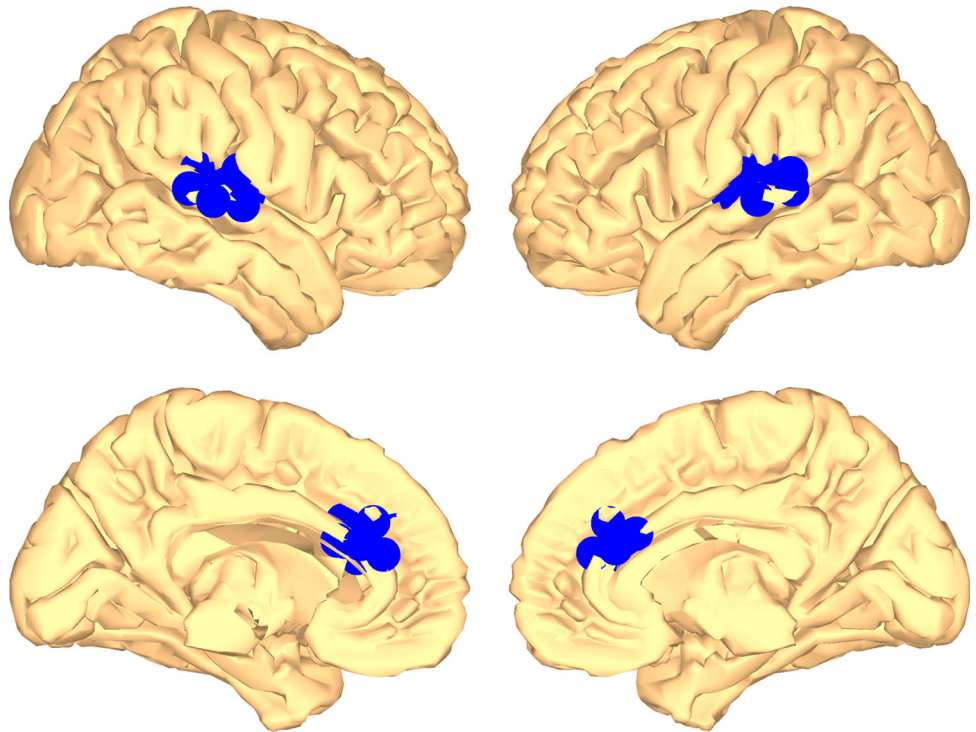
For every subject and condition, the head model, the individual source model and the sensor locations were used to calculate individual leadfield matrices.

Source Localization of aeGBR Power

Using the leadfield matrices, the exact low resolution brain electromagnetic tomography (eLORETA) algorithm (as implemented in FieldTrip) was applied in order to produce an eLORETA spatial filter for every leadfield matrix. eLORETA is a discrete, linear, three-dimensional distributed, weighted minimum norm inverse solution, which localizes the power distribution of the MEG signal with exact maxima for single dipoles but with low spatial resolution (Pascual-Marqui 2007a). The capability of the eLORETA software to separate two correlated sources is shown in Online Resource 1 using simulated data.

The preprocessed single trials of every subject and each condition were band-pass filtered (35–45 Hz; Butterworth filter order 4) and segmented to epochs from 30 to 80 ms (aeGBR segments) and –80 to –30 ms (baseline segments) with respect to the stimulus onset. aeGBR segments were averaged and Fourier transformed (Hanning taper at 40 Hz; zero-padding to 2 s) before calculation of an aeGBR cross-spectrum density (CSD) matrix (according to Eq. 1, see below). Our intention was to analyse source power of the aeGBR relative to the pre-stimulus baseline. However, because averaging of baseline activity results in zero values in the denominator of the power ratio, we used the average standard error of baseline single-trial (Fourier transformed; Hanning taper at 40 Hz; zero-padding to 2 s) CSDs as an estimate of the activity of the average background noise. The resulting measure, comparable to the aeGBR, was used to assess relative to baseline gamma response in each condition. The real parts of the baseline CSD matrix and the aeGBR CSD matrix were projected into source space through multiplication with the individual eLORETA spatial filter for every source point. At each source point, power was defined as the maximal eigenvalue of the 3×3 CSD matrix at that source point corresponding to three dipole directions. This value is identical to the power obtained by maximizing power over all possible dipole orientations and assuming fixed orientation during interval of interest over the entire recording block. In order to assess the relative power increase following stimulus presentation compared to the baseline period, the ratio of aeGBR to the estimated baseline activity was calculated for every subject and condition at each source point.

Fig. 1 Regions of interest (ROIs) within the *left* and *right* auditory cortices (*upper row*) and the dorsal anterior cingulate cortex (dACC; *lower row*)



Regions of Interest (ROI) Analysis

We used three different ROIs (Fig. 1) corresponding to the left (MNI coordinates: $x = -71$ to -34 ; $y = -38$ to -10 ; $z = 5$ to 20) and right ($x = 35$ to 72 ; $y = -37$ to -12 ; $z = 5$ to 20) auditory cortices (28 source points each) and the dACC (14 source points, $x = -10$ to 10 ; $y = 22$ to 42 ; $z = 12.3$ to 22.3). The source points selected to be included in the left and right auditory cortex ROIs were chosen according to MNI coordinates within Brodmann areas 41 and 42. The coordinates were selected using WFU_pickatlas (Maldjian et al. 2003). The dACC ROI was defined functionally according to the results of a previous study of our group that investigated aeGBR sources using simultaneous EEG and fMRI and the same task as the present study (Mulert et al. 2010): The voxel with the highest gamma-band specific BOLD signal ($x = 0$; $y = 32$; $z = 22.3$; MNI coordinates) in the Mulert et al. (2010) study was used as the center of gravity for the dACC ROI, adding 10 mm in every direction. The decision to use the resulting dACC ROI including source points from both hemispheres was based on the absence of laterality effects (left versus right dACC) with respect to aeGBR power and connectivity analyses (see Online Resource 2). Additionally, using the WFU_pickatlas (Maldjian et al. 2003), three control ROIs were defined corresponding to the left (MNI coordinates: $x = -21$ to 0 ; $y = -110$ to -82 ; $z = -15$ to 10 ; 23 source points) and right ($x = 0$ to 21 ; $y = -110$ to -82 ; $z = -15$ to 10 ; 19

source points) primary visual cortices and to the posterior cingulate cortex (PCC) (MNI coordinates: $x = -16$ to 16 ; $y = -52$ to -32 ; $z = 10$ to 34 ; 21 source points). The aeGBR power of a ROI was calculated as the mean of the power ratio values of all source points included in the ROI.

Estimation of Connectivity Between ROIs

Functional connectivity between ROIs was estimated using the lagged phase synchronization (LPS) measure (Pascual-Marqui 2007b). LPS is a measure of dependence between two multivariate time series in the frequency domain based on complex coherency. It has the following properties: (a) it vanishes for non-interacting sources regardless of the number of sources and the way they are mapped onto sensors, and (b) for any two sources, the actual value is independent of forward mapping. This latter property is the essential difference to using the imaginary part of coherency.

Using the FieldTrip software, preprocessed single trials were band-pass filtered (35–45 Hz, Butterworth filter order 4), re-segmented to epochs from 30 to 80 ms after stimulus onset and Fourier transformed (discrete Fourier transformation; Hanning taper at 40 Hz with zero-padding to 2 s). For every MEG sensor, complex frequency domain data were used to build a cross-spectrum density matrix (Eq. 1): for two sensors with complex signals $X(f, i)$ and $Y(f, i)$ the cross-spectrum S_{XY} for a particular frequency f at each trial i was calculated as:

$$S_{XY}(f) = \frac{1}{N} \sum_{i=1}^N X(f, i) Y^*(f, i) \quad (1)$$

where the asterisk denotes complex conjugate of the complex number, and N refers to the number of trials.

LPS analyses were performed with custom Matlab scripts according to the approach suggested by Pascual-Marqui (2007b). In order to map sensor level data onto the source space, we used a new 1-D spatial filter, i.e. we fixed the source orientation which was obtained during eLORETA source localization. This filter was produced by multiplying the eLORETA spatial filter at every source point with the dipole orientation which has the highest power at a particular frequency (in our case 40 Hz). For a detailed account of this filter computation please see (Hipp et al. 2012). Next, the S_{XY} cross-spectrum density matrix was multiplied with that 1-D spatial filter resulting in a source level cross-spectrum density matrix.

The source level cross-spectrum density matrix (*sCSD*, complex valued, size 2839×2839) represents signal interactions among all source points for a particular frequency f . Using this matrix, the complex coherency *Coh* for the frequency f is calculated according to Eq. 2:

$$Coh(f) = \frac{sCSD(f)}{\sqrt{\text{diag}(sCSD(f)) \text{diag}(sCSD(f))^T}} \quad (2)$$

where $\text{diag}(sCSD)$ is defined as the diagonal of the *sCSD* matrix as a column vector, which represents the auto-spectra of the source points, and the square root and the matrix ratio is meant to be element-wise. The transpose of a vector is denoted with the superscript T .

The LPS was calculated according to Eq. 3:

$$\phi_{a=b}^2(f) = \frac{[\text{Im}(Coh(f))]^2}{1 - [\text{Re}(Coh(f))]^2} \quad (3)$$

where ϕ represents the LPS, and $\text{Im}(Coh)$ and $\text{Re}(Coh)$ represent the imaginary and the real part of complex coherency, respectively, between signals at source points a and b for frequency f .

The outcome of the Eq. 3 is a 2839×2839 square matrix containing the LPS connectivity values for each pair of source points. The mean LPS values between auditory cortex ROIs and the dACC ROI for each subject and condition were used for the statistical comparison of conditions.

Statistics

All statistical analyses were performed in Matlab (MathWorks®). Differences between conditions with respect to head displacement, number of trials, reaction times, relative power increase in ROIs, and LPS values

were assessed with dependent sample t-tests. Normal distribution of the respective variables was confirmed with the Shapiro–Wilk parametric test. Correlations between reaction times and aeGBR power in ROIs were assessed using Spearman’s rho.

Results

There was a significant difference between conditions with respect to reaction times, which were significantly slower in DC (748.2 ± 182.8 ms) compared to EC (350.1 ± 64.2 ms; $t[12] = 9.5$; $p < 0.001$).

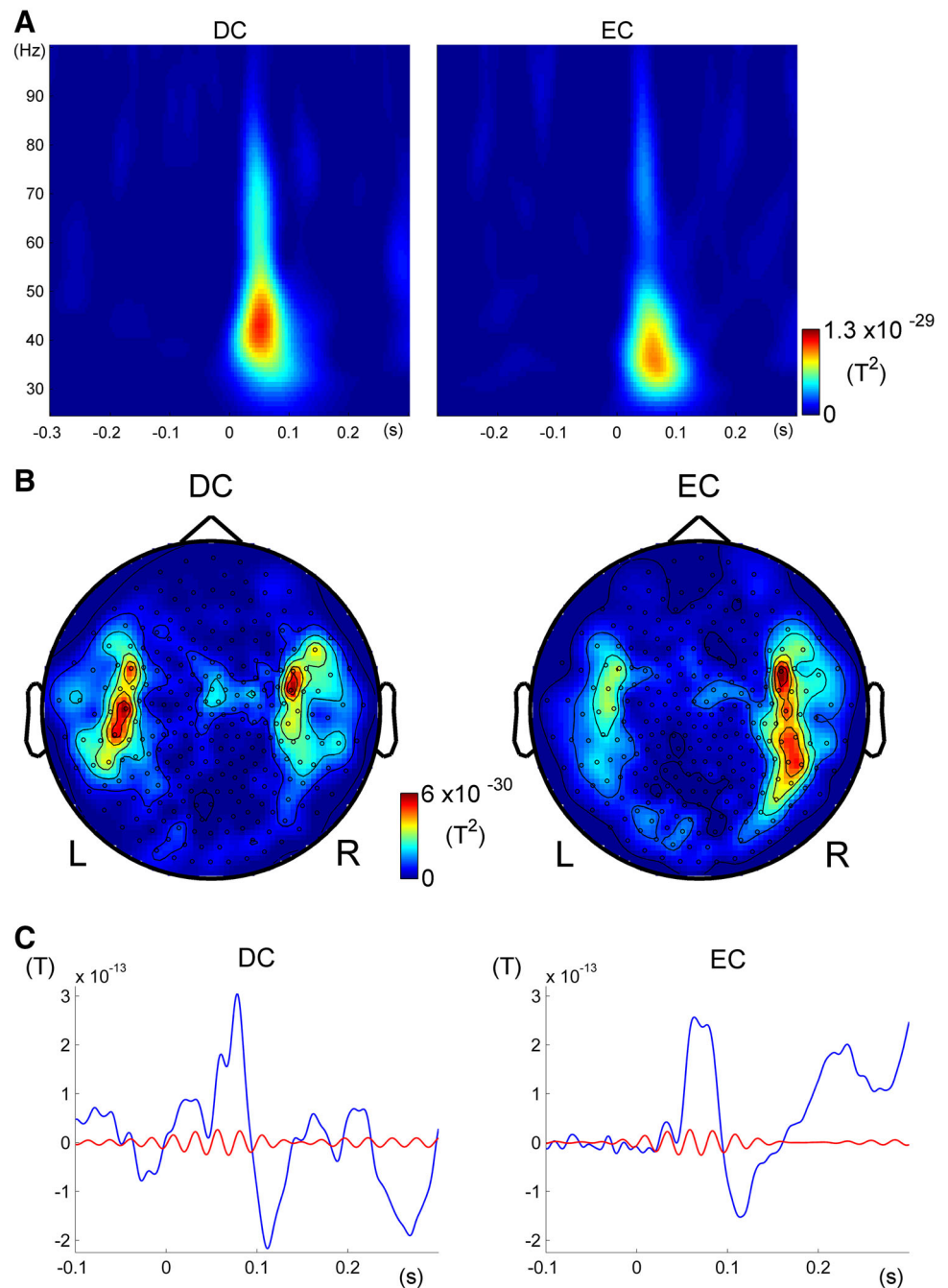
MEG time–frequency analysis at the sensor level indicated an increase of gamma activity within the aeGBR time window compared to baseline over temporal sensors in both conditions (Fig. 2). Although the scalp topographies of the aeGBR give the impression of a more right dominant aeGBR in EC but not in DC, we did not find any significant side effect (see Online Resource 3). This is in line with previous observations (Ross et al. 2005, 2002).

Source localization of the aeGBR with eLORETA revealed the highest activity within the bilateral superior temporal gyri and in frontal midline structures of the brain including the cingulate gyrus, the medial frontal gyrus and the supplementary motor area in both conditions (Table 1). Inspection of aeGBR activity patterns in the two conditions indicated higher activity in DC than in EC within the dACC (Fig. 3). This impression was confirmed by the ROI analysis, which revealed significantly higher gamma activity in the dACC ROI in DC compared to EC (mean power ratio compared to baseline: 2.6 in DC versus 1.4 in EC; $t[12] = 2.51$; $p = 0.03$; Fig. 4). No significant differences were found between conditions with respect to left and right auditory cortex ROIs.

In DC, but not in EC, the activity of the dACC ROI (Spearman’s rho = -0.67 , $p = 0.01$, bootstrap CI = -0.431 to -0.881) and the mean activity of both auditory cortex ROIs (Spearman’s rho = -0.615 , $p = 0.03$, bootstrap CI = -0.343 to -0.846) inversely correlated with reaction times (Fig. 5). For correlation analysis Fisher z-transformation was applied to ROI activity variables but not to reactions times since this variable was normally distributed.

Figure 6 depicts the LPS between the combined bilateral auditory cortices ROIs (seed) and all other source points in the time interval corresponding to the aeGBR. Inspection of the two conditions indicated increased connectivity of the auditory cortex with frontal midline structures of the brain including the dACC in DC compared to EC (Table 2). ROI analysis indicated an increase of functional connectivity between combined auditory cortices and the dACC in the aeGBR timeframe compared to baseline (see

Fig. 2 Auditory evoked gamma-band response displayed as time frequency plots (mean over four temporal sensors: MLT13, MLT24, MRT13 and MRT24), scalp topographies and filtered data alongside the wide-band response. **a** Time–frequency-analysis of the time frame 300 ms before and 300 ms after stimulus presentation averaged over all subjects. Scaling was uniform for both conditions. The early auditory evoked gamma band response (aeGBR) can be seen as an increase of power at approximately 50 ms after stimulus presentation and in the frequency-range around 40 Hz. **b** Planar gradients topographies of the aeGBR power for 35–45 Hz and 30–80 ms after stimulus presentation. **c** aeGBR displayed as filtered data (*red line*, 35–45 Hz) alongside the wide-band response (*blue line*, 0.1–70 Hz) from an exemplary subject (temporal sensor MRT 23): the coincidence of the aeGBR peaks in filtered and unfiltered data is visible. *DC* difficult condition, *EC* easy condition (Color figure online)



Online Resource 4) and a significantly higher functional connectivity between combined auditory cortices and the dACC in DC compared to EC (LPS values: 0.06 in DC vs. 0.049 in EC; $t[12] = 3$; $p = 0.01$; Fig. 7). There was no significant increase of LPS in DC compared to EC neither between the dACC and the combined control ROIs within the primary visual cortices ($t[12] = 1.58$; $p = 0.14$) nor between the auditory cortices ROI and the PCC control ROI ($t[12] = -0.1$; $p = 0.93$).

Discussion

The present study used MEG to investigate the aeGBR in healthy subjects. The two versions of the paradigm differed with respect to their demands on the cognitive system (Mulert et al. 2007), as in DC subjects had to distinguish among three different tones. Consistent with our hypotheses, we found MEG neural generators in bilateral auditory cortices and in frontal midline structures of the brain. The

Table 1 Locations of maximum eLORETA activations within different brain regions for the early auditory evoked gamma band response (time frame 30–80 ms after stimulus onset), MNI coordinates

Condition	Region	x	y	z	Power ratio	
DC	Left supplementary motor area	−3	−4.1	55.1	6.22	
	Left cingulate gyrus	−3.7	−5.5	49.1	6.21	
	Right supplementary motor area	3.4	−5.7	56	5.91	
	Right cingulate gyrus	5.5	−9.1	48.1	5.82	
	Right superior frontal gyrus	25.8	12.1	46	5.52	
	Right middle frontal gyrus	34.6	9.9	31.6	5.47	
	Right postcentral gyrus	39.7	−17.7	39.3	5.13	
	Right superior temporal gyrus	32.6	−25	14.1	5.01	
	Left middle frontal gyrus	−40.6	1.6	34.5	4.81	
	Left superior temporal gyrus	−69	−35.3	17.2	4.34	
	EC	Left supplementary motor area	−1.9	−11.9	53.3	5.67
		Left cingulate gyrus	−1.4	−11.7	40.7	5.41
		Right supplementary motor area	3.4	−5.7	56	5.35
		Right cingulate gyrus	5.5	−9.1	48.1	5.28
Left postcentral gyrus		−42.3	−10.6	34.7	5.08	
Right superior temporal gyrus		45.6	−22.3	13.6	4.91	
Right precentral gyrus		41.3	7.4	27.6	4.89	
Right postcentral gyrus		44.2	−9.6	34.4	4.84	
Left superior temporal gyrus		−47.2	−32.7	15.7	3.28	
DC–EC difference		Right superior frontal gyrus	25.8	12.1	46	2.37
		Right dorsal anterior cingulate cortex	5.2	21	31.6	1.86
		Left superior temporal gyrus	−69.2	−35.3	13.2	1.63
		Left dorsal anterior cingulate cortex	−2.1	31.2	21.3	1.52

auditory sources of the aeGBR show some differences between conditions with respect to their location within the auditory cortex. Under restriction of the spatial resolution derived from the MEG-based source localisation one could speculate that rather primary auditory cortex regions were found during EC and rather secondary auditory cortex regions (BA22) during DC. The frontal midline generator was present during both conditions (see Fig. 3, first and second column), but it showed substantially stronger activity in the difficult condition. According to the comparison between conditions (Fig. 3, third column), the region that contributed most to the frontal midline generator was the dACC, while ROI analysis confirmed significantly greater aeGBR power increase in the dACC in difficult compared to the easy condition (Fig. 4). Functional connectivity analyses also showed significantly stronger functional connectivity between the auditory cortices ROIs and the dACC ROI in the difficult compared to the easy condition (Fig. 6). This was specifically the case for the connection between auditory cortex and dACC as no increase of LPS was present between dACC as well as auditory cortex and control ROIs. Analysis of behavioral results (Fig. 5a) suggested an inverse correlation between aeGBR power in the dACC and reaction times in the difficult, but not in the easy condition. The same inverse correlation pattern was observed for aeGBR power in

auditory cortices and reaction times in the difficult condition (Fig. 5b). Our results are in line with findings from previous EEG (Mulert et al. 2007) and coupled EEG-fMRI (Mulert et al. 2010) studies, and provide MEG evidence in support of the hypothesis that the dACC is implicated in effortful processing of auditory stimuli. This appears to be a specific effect of the dACC, as we found no difference in aeGBR between conditions for the auditory cortex ROIs.

Notably, the aeGBR shows different spectral peaks with respect to our different conditions (Fig. 2a) This might give a hint to an influence of increasing cognitive demands on the peak frequency of evoked gamma oscillations which has to be explicitly addressed in future studies.

The dACC is a multifunctional structure, involved in a variety of cognitive tasks. Being the subject of a large body of neuroscientific research, this region has been associated with a variety of functions, e.g. acceleration of reaction times (Bush et al. 1998), conflict monitoring (Botvinick et al. 1999), response selection (Turken and Swick 1999), reward-based decision-making (Bush et al. 2002), error detection (Fiehler et al. 2004), mediation of behavioral adaptation based on the assessment of outcome of own actions (Sheth et al. 2012), selection and maintenance of behaviors (Holroyd and Yeung 2012), and action initiation (Srinivasan et al. 2013). For a comprehensive review of the functional neuroanatomy of cingulate cortex please see

Fig. 3 Maximum eLORETA activations for the early auditory evoked gamma band response (aeGBR) within the time frame 30–80 ms after stimulus onset presented as power ratio relative to the baseline (mean over all subjects). The aeGBR generators were found within bilateral auditory cortices and midline structures of the brain including the cingulate gyrus, the medial frontal gyrus and the supplementary motor area in both conditions. According to the depicted comparison between conditions (*right column*) the aeGBR activity of the dACC was stronger during the difficult condition (DC) compared to the easy condition (EC). For plotting purposes we smoothed power ratio values to a 10,000 source points grid with a Gaussian spatial filter (Color figure online)

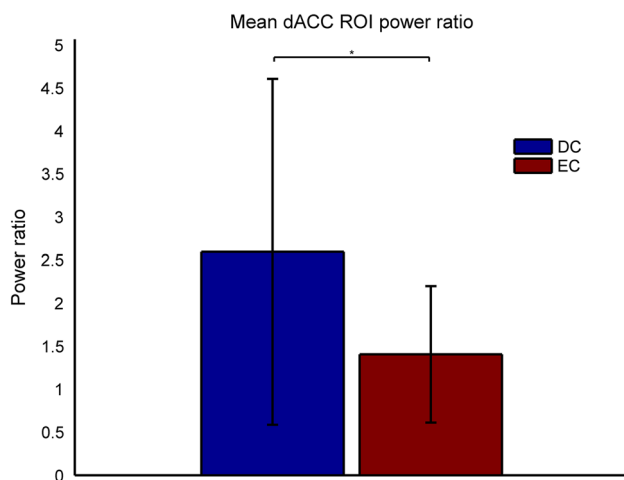
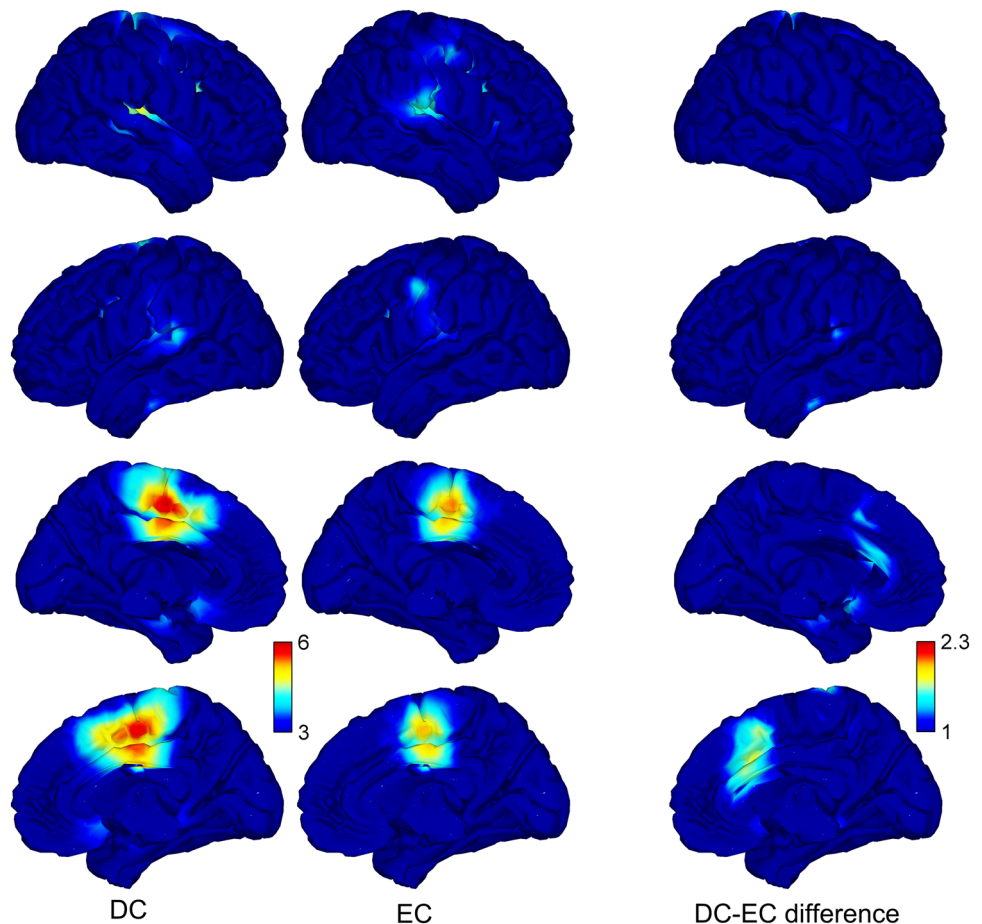


Fig. 4 Mean power ratios of early auditory evoked gamma band response (aeGBR) and baseline for the region of interest (ROI) within the dorsal anterior cingulate cortex (dACC). A significantly higher gamma activity within the dACC was found during the difficult condition (DC) compared to the easy condition (EC) (Color figure online)

(Vogt 2009). Given the variability of the suggested functions of the dACC, considerable efforts have been made to unify these functions into one single model that could

reconcile results across different research fields and paradigms. Functional neuroimaging studies have typically interpreted the function of the dACC mainly through its involvement in cognitive processes and reinforcement learning, while neuropsychological studies in patients with cerebral lesions have suggested a role of the dACC in motivating and “energizing” behavior (Holroyd and Yeung 2012).

It is of special interest to shortly discuss how recent models of dACC function explain its involvement in a mental effort demanding task in the present study. Holroyd and Yeung (2012) suggested “the actor-critic model” that draws upon hierarchical reinforcement learning theory. According to these authors, the dACC is part of a larger behavioral network that plays a role in selection and maintenance of a given action (rather than conflict monitoring), as well as in sustaining effortful behavior (Holroyd and Yeung 2012). Another relevant model proposed by Shenhav et al. (2013) postulates an involvement of the dACC in cognitive control through (a) coding the “expected value” (payoff) of controlled processes, (b) computing the amount of control that needs to be invested in order to achieve this payoff, and (c) estimating

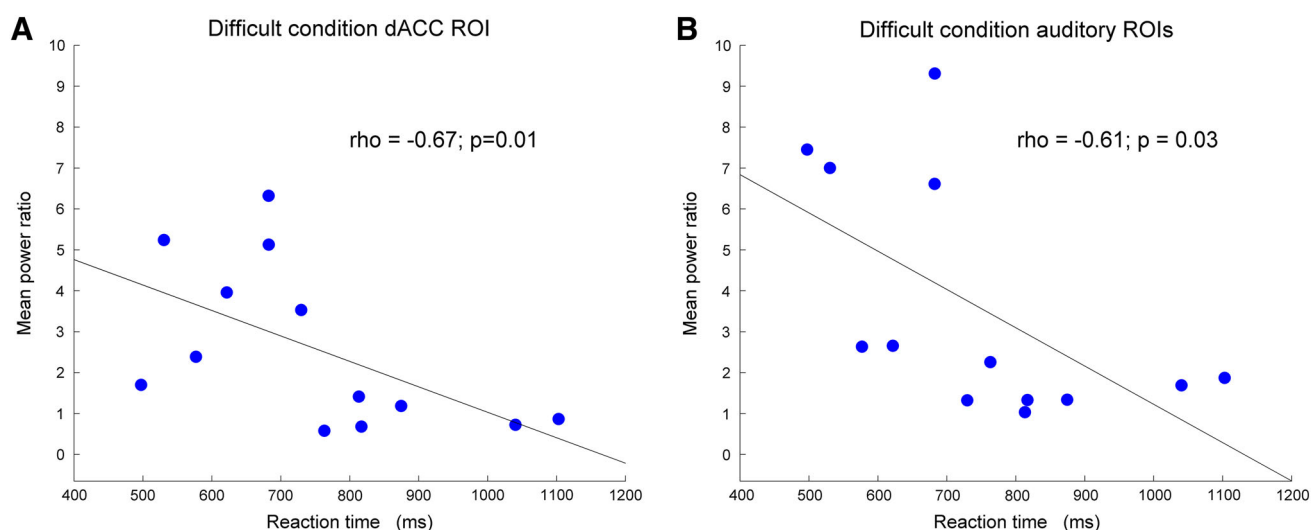
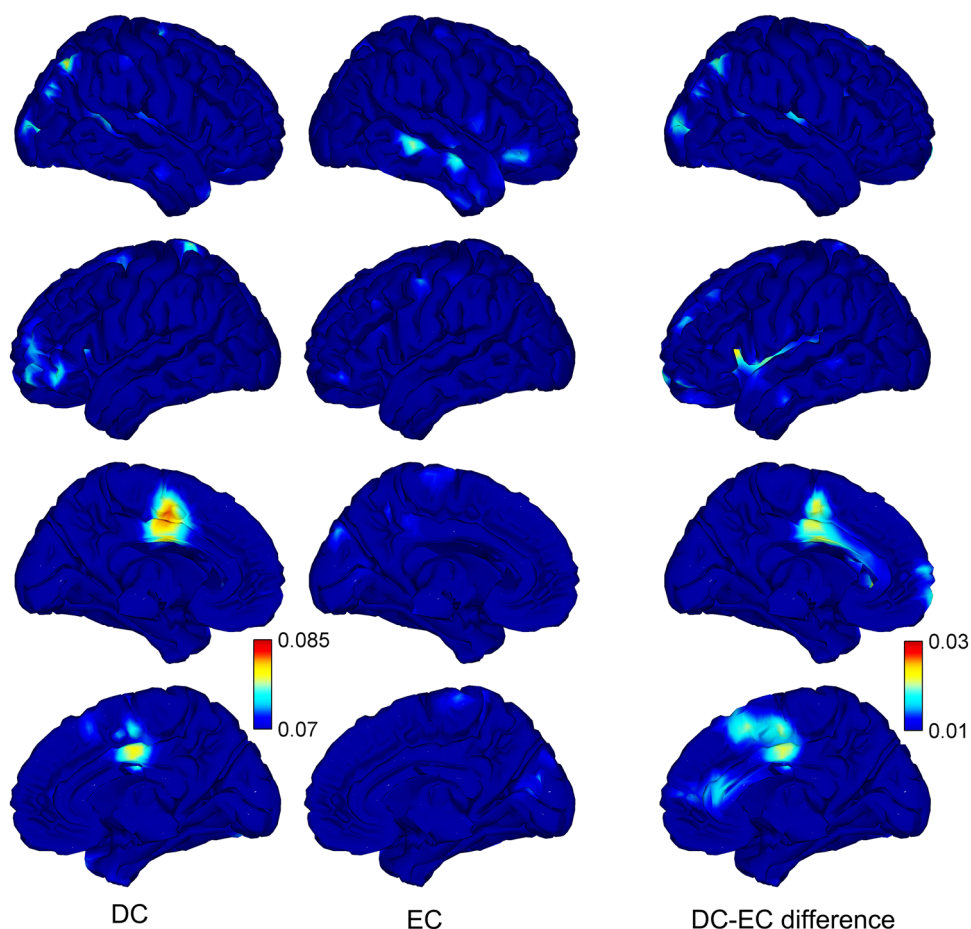


Fig. 5 Correlations between reaction times and aeGBR activity within **a** the dACC and **b** the auditory cortices. The subjects responded faster with increasing mean power ratios between aeGBR and baseline within the dACC region of interest (ROI) as well as

within the bilateral auditory cortex ROIs. For correlation analysis Fisher z-transformation was applied to ROI activity variables but not to reactions times since this variable was normally distributed

Fig. 6 Lagged phase synchronization (LPS) in the gamma-band (35–45 Hz) between the bilateral auditory cortices regions of interest as a seed and all other regions of the brain within the time frame 30–80 ms after stimulus onset. According to the depicted difference between conditions we observed an increase of connectivity between the auditory cortex and the dACC during the difficult condition (DC) compared to the easy condition (EC). For plotting purposes we used the auditory cortices ROIs as a seed and depicted the LPS values for the connectivity from this seed to the whole brain. LPS values are smoothed to a 10,000 source points grid with a Gaussian spatial filter (Color figure online)



the cost in terms of cognitive effort required (Shenhav et al. 2013). According to this model, the role of the dACC is to maximize the expected value of control, taking into

account the above three variables. In line with these models of ACC function previous EEG and simultaneous EEG-fMRI studies using the same or slightly modified tasks as

Table 2 Locations of maximum gamma-band lagged phase synchronisation (LPS) between the auditory cortices as a seed and different brain regions within the time window of the early auditory evoked gamma band response (30–80 ms after stimulus onset), MNI coordinates

Condition	Region	x	y	z	LPS value
DC	Left supplementary motor area	−8.8	−2.9	48.7	0.082
	Left cingulate gyrus	−3.9	−4.6	43.7	0.082
	Right angular gyrus	43.5	−70.4	49.3	0.079
	Right cingulate gyrus	2.5	−3	41.3	0.079
	Left postcentral gyrus	−19.7	−48.8	78.6	0.078
	Right Occipital Lobe (Cuneus)	26.2	−88.6	7.2	0.077
	Left inferior frontal gyrus left	−48.8	42.3	4.3	0.076
	Right supplementary motor area	3.4	−5.7	56	0.075
EC	Right middle temporal gyrus	71.3	−35.9	−2.2	0.077
	Right middle temporal gyrus	67.9	−10.2	−13.9	0.075
	Right inferior frontal gyrus	50.6	35.1	−13.4	0.074
DC–EC difference	Left insula	−33.7	5.6	13.3	0.026
	Left supplementary motor area	−3	−4.1	55.2	0.022
	Left cingulate gyrus	−4	−4.6	43.7	0.021
	Right cingulate gyrus	2.5	−3	41.3	0.020
	Right supplementary motor area	3.4	−5.7	56.1	0.019
	Right angular gyrus	43.5	−70.4	49.4	0.019
	Right occipital pole	32.2	−95.2	11.4	0.018
	Right anterior cingulate cortex	60	32.5	11.6	0.017

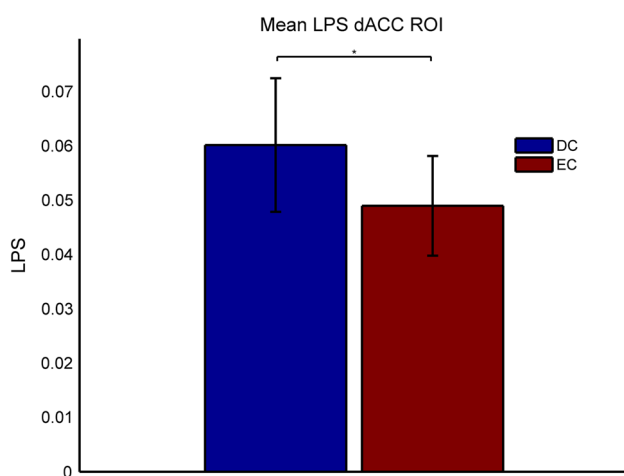


Fig. 7 Lagged phase synchronization (LPS) values representing the functional connectivity between dACC and bilateral auditory cortices (mean over all subjects). The bilateral auditory cortices regions of interest (ROIs) were used as a seed. During occurrence of the early auditory evoked gamma band response (30–80 ms after stimulus onset) the difficult condition (DC) shows significantly stronger functional connectivity between auditory cortices and dACC compared to the easy condition (EC) (Color figure online)

compared to the present study have reported significant associations between dACC activity and conscious mental effort (Mulert et al. 2005a, 2008) as well as passive mental effort demands (Mulert et al. 2010, 2007). The latter investigated the dACC as a generator of the aeGBR. Moreover, subjects performing the task used here showed increased N100 amplitude and dACC N100 source activity

in relation with faster reaction times (Mulert et al. 2003, 2005a). A similar association was noted in the present study between dACC aeGBR activity and improved performance. Thus, the present study confirms and expands previous EEG findings using an MEG-based approach.

Accordingly, the location of the dACC ROI used in the present study was chosen based on our previous work investigating the aeGBR with simultaneous measurement of EEG and fMRI (Mulert et al. 2010). This location is well in line with the location of the maximum difference between conditions within the ACC obtained from the eLORETA aeGBR power analysis (see Fig. 3), although a more posterior location of ACC activity is visible in the separate analysis of conditions. Given the resolution of the MEG the analysis of dACC and auditory cortex ROIs might have been confounded by activity of surrounding brain regions. However, both EEG-based source localization (Leicht et al. 2010; Mulert et al. 2007) and single trial EEG-fMRI coupling (Mulert et al. 2010) suggested generators of the aeGBR within the dACC and the bilateral auditory cortices.

As a cautionary note, it should be mentioned that our findings do not constitute direct proof for a top-down involvement of the dACC. They do nevertheless suggest an early synchronization of sensory and monitoring regions during the effortful processing of auditory stimuli. According to the “match and utilization model”, stimuli that match previous memory content (in this case, target stimuli) are more salient than non-target stimuli (Herrmann et al. 2004). Selective attention towards a relevant feature of stimulus (in this case, pitch frequency) leads to

generation of top-down signals that drive the synchronization of sub-threshold oscillations of feature-selective neural assemblies. Therefore, the augmentation of the aeGBR, generated in the auditory cortices and the dACC, might represent the means through which selective attention exerts its top-down influence on the primary auditory cortex. Although the aeGBR, as an evoked (time-locked) response, corresponds to an average that disregards single trial phase information needed for connectivity analyses, one might argue that all regions that are active during the time-locked “event” are conceivably connected with each other. This notion was confirmed in the present study by looking into functional connectivity patterns of the 40 Hz total gamma signal at the time interval where the aeGBR was the most prominent, i.e. 30–80 ms after the stimulus. However, it is important to mention that lagged phase synchronization conveys information regarding functional connectivity, but not its direction. A limitation of our study is the fact that an increase in gamma power in DC compared to EC could result in an increase of the LPS measure due to an enhanced signal to noise ratio. However, in our study, LPS values were not correlated with power ratio values in each of the conditions. Based on this analysis, we can exclude linear relationships between the two variables whereas there is no way to exclude non-linear relationships.

The present findings are in line with the notion of gamma-band long-range connectivity (Buzsaki and Wang 2012; Singer 1999; Uhlhaas and Singer 2013). The long-range gamma band synchronization has been shown to be mediated by theta oscillations (Jensen and Colgin 2007; Lisman and Jensen 2013) and oscillations from other frequency ranges such as delta, beta and alpha oscillations (Canolty and Knight 2010; Fontolan et al. 2014; Roux et al. 2013). However, so far, there are no studies explicitly investigating the relation between the aeGBR and other frequency ranges.

A limitation of our study is that we did not detect signals from deep sources such as the thalamus, which has been suggested to be involved in the generation of the aeGBR in previous studies (Mulert et al. 2010). However, the question whether MEG has the capacity to detect signals from such deep sources is still under debate (Roux et al. 2013; van Wijk and Fitzgerald 2014). One possibility is that the functional coupling between the auditory cortex and the dACC observed in the present study is partly mediated by the thalamus. This interpretation would be consistent with the suggestion that functional coupling in the 40-Hz range across long distances requires strong anatomical connections, e.g. large diameter myelinated fibers with satisfactory conduction speed (Aboitiz et al. 2003).

In conclusion, our study confirmed an MEG generator of the aeGBR in the dACC. Increased activity of this 40 Hz

generator was associated with faster reaction times, dependent on the demands placed by the sensory task on the cognitive system. In the same time window and frequency, functional connectivity between auditory cortices and the dACC was increased with mental effort.

The authors declare no conflict of interest. This work has been supported by DFG, SFB 936 “Multi-Site Communication in the Brain”, project (SFB936/C6/A3/Z1).

References

- Aboitiz F, Lopez J, Montiel J (2003) Long distance communication in the human brain: timing constraints for inter-hemispheric synchrony and the origin of brain lateralization. *Biol Res* 36(1):89–99
- Ahveninen J, Kahkonen S, Tiitinen H, Pekkonen E, Huttunen J, Kaakkola S, Ilmoniemi RJ, Jaaskelainen IP (2000) Suppression of transient 40-Hz auditory response by haloperidol suggests modulation of human selective attention by dopamine D2 receptors. *Neurosci Lett* 292(1):29–32
- Ahveninen J, Huang S, Belliveau JW, Chang WT, Hamalainen M (2013) Dynamic oscillatory processes governing cued orienting and allocation of auditory attention. *J Cogn Neurosci* 25(11):1926–1943
- Barth DS, MacDonald KD (1996) Thalamic modulation of high-frequency oscillating potentials in auditory cortex. *Nature* 383(6595):78–81
- Basar E (2013) A review of gamma oscillations in healthy subjects and in cognitive impairment. *Int J Psychophysiol* 90(2):99–117
- Bastiaansen MCM, Knosche TR (2000) Tangential derivative mapping of axial MEG applied to event-related desynchronization research. *Clin Neurophysiol* 111(7):1300–1305
- Béнар CG, Bagshaw AP, Lemieux L (2010) Experimental design and data analysis strategies. In: Mulert C, Lemieux L (eds) EEG-fMRI—physiological basis, technique, and applications. Springer, Berlin, pp 221–257
- Bhattacharya J, Petsche H, Pereda E (2001) Long-range synchrony in the gamma band: role in music perception. *J Neurosci* 21(16):6329–6337
- Botvinick M, Nystrom LE, Fissell K, Carter CS, Cohen JD (1999) Conflict monitoring versus selection-for-action in anterior cingulate cortex. *Nature* 402(6758):179–181
- Brosch M, Budinger E, Scheich H (2002) Stimulus-related gamma oscillations in primate auditory cortex. *J Neurophysiol* 87(6):2715–2725
- Bush G, Whalen PJ, Rosen BR, Jenike MA, McInerney SC, Rauch SL (1998) The counting Stroop: an interference task specialized for functional neuroimaging—validation study with functional MRI. *Hum Brain Mapp* 6(4):270–282
- Bush G, Vogt BA, Holmes J, Dale AM, Greve D, Jenike MA, Rosen BR (2002) Dorsal anterior cingulate cortex: a role in reward-based decision making. *Proc Natl Acad Sci USA* 99(1):523–528
- Buzsaki G, Draguhn A (2004) Neuronal oscillations in cortical networks. *Science* 304(5679):1926–1929
- Buzsaki G, Wang XJ (2012) Mechanisms of gamma oscillations. *Annu Rev Neurosci* 35:203–225
- Canolty RT, Knight RT (2010) The functional role of cross-frequency coupling. *Trends in Cogn Sci* 14(11):506–515
- Carl C, Acik A, Konig P, Engel AK, Hipp JF (2012) The saccadic spike artifact in MEG. *Neuroimage* 59(2):1657–1667
- Debener S, Herrmann CS, Kranczioch C, Gembris D, Engel AK (2003) Top-down attentional processing enhances auditory evoked gamma band activity. *NeuroReport* 14(5):683–686

- Edwards E, Soltani M, Deouell LY, Berger MS, Knight RT (2005) High gamma activity in response to deviant auditory stimuli recorded directly from human cortex. *J Neurophysiol* 94(6):4269–4280
- Fell J, Fernandez G, Klaver P, Elger CE, Fries P (2003) Is synchronized neuronal gamma activity relevant for selective attention? *Brain Res Brain Res Rev* 42(3):265–272
- Fiehler K, Ullsperger M, von Cramon DY (2004) Neural correlates of error detection and error correction: is there a common neuroanatomical substrate? *Eur J Neurosci* 19(11):3081–3087
- Fontolan L, Morillon B, Liegeois-Chauvel C, Giraud AL (2014) The contribution of frequency-specific activity to hierarchical information processing in the human auditory cortex. *Nat Commun* 5:4694
- Friston KJ, Holmes AP, Worsley KJ, Poline JP, Frith CD, Frackowiak RS (1994) Statistical parametric maps in functional imaging: a general linear approach. *Hum Brain Mapp* 2(4):189–210
- Fuchs EC, Zivkovic AR, Cunningham MO, Middleton S, Lebeau FE, Bannerman DM, Rozov A, Whittington MA, Traub RD, Rawlins JN et al (2007) Recruitment of parvalbumin-positive interneurons determines hippocampal function and associated behavior. *Neuron* 53(4):591–604
- Gurtubay IG, Alegre M, Labarga A, Malanda A, Artieda J (2004) Gamma band responses to target and non-target auditory stimuli in humans. *Neurosci Lett* 367(1):6–9
- Herrmann CS, Munk MH, Engel AK (2004) Cognitive functions of gamma-band activity: memory match and utilization. *Trends Cogn Sci* 8(8):347–355
- Herrmann CS, Frund I, Lenz D (2010) Human gamma-band activity: a review on cognitive and behavioral correlates and network models. *Neurosci Biobehav Rev* 34(7):981–992
- Hipp JF, Hawellek DJ, Corbetta M, Siegel M, Engel AK (2012) Large-scale cortical correlation structure of spontaneous oscillatory activity. *Nat Neurosci* 15(6):884–890
- Hirano S, Hirano Y, Maekawa T, Obayashi C, Oribe N, Kuroki T, Kanba S, Onitsuka T (2008) Abnormal neural oscillatory activity to speech sounds in schizophrenia: a magnetoencephalography study. *J Neurosci* 28(19):4897–4903
- Hirata M, Koreeda S, Sakihara K, Kato A, Yoshimine T, Yorifuji S (2007) Effects of the emotional connotations in words on the frontal areas—a spatially filtered MEG study. *Neuroimage* 35(1):420–429
- Holroyd CB, Yeung N (2012) Motivation of extended behaviors by anterior cingulate cortex. *Trends Cogn Sci* 16(2):122–128
- Jensen O, Colgin LL (2007) Cross-frequency coupling between neuronal oscillations. *Trends Cogn Sci* 11(7):267–269
- Johannesen JK, Bodkins M, O'Donnell BF, Shekhar A, Hetrick WP (2008) Perceptual anomalies in schizophrenia co-occur with selective impairments in the gamma frequency component of midlatency auditory ERPs. *J Abnorm Psychol* 117(1):106–118
- Leicht G, Kirsch V, Giegling I, Karch S, Hantschk I, Moller HJ, Pogarell O, Hegerl U, Rujescu D, Mulert C (2010) Reduced early auditory evoked gamma-band response in patients with schizophrenia. *Biol Psychiatry* 67(3):224–231
- Leicht G, Karch S, Karamatskos E, Giegling I, Moller HJ, Hegerl U, Pogarell O, Rujescu D, Mulert C (2011) Alterations of the early auditory evoked gamma-band response in first-degree relatives of patients with schizophrenia: hints to a new intermediate phenotype. *J Psychiatr Res* 45(5):699–705
- Lisman JE, Jensen O (2013) The theta-gamma neural code. *Neuron* 77(6):1002–1016
- Makeig S, Bell AJ, Jung TP, Sejnowski TJ (1996) Independent component analysis of electroencephalographic data. *Adv Neural Inf Process Syst* 8:145–151
- Maldjian JA, Laurienti PJ, Kraft RA, Burdette JH (2003) An automated method for neuroanatomic and cytoarchitectonic atlas-based interrogation of fMRI data sets. *Neuroimage* 19(3):1233–1239
- Mazziotta J, Toga A, Evans A, Fox P, Lancaster J, Zilles K, Woods R, Paus T, Simpson G, Pike B et al (2001) A probabilistic atlas and reference system for the human brain: International Consortium for Brain Mapping (ICBM). *Philos Trans R Soc Lond B Biol Sci* 356(1412):1293–1322
- Mega MS, Cummings JL (1996) The cingulate and cingulate syndromes. In: Trimble M, Cummings LJ (eds) *Contemporary behavioral neurology*, 1st edn. Butterworth-Heinemann, Oxford, pp 189–214
- Mulert C, Gallinat J, Pascual-Marqui R, Dorn H, Frick K, Schlattmann P, Mientus S, Herrmann WM, Winterer G (2001) Reduced event-related current density in the anterior cingulate cortex in schizophrenia. *Neuroimage* 13(4):589–600
- Mulert C, Gallinat J, Dorn H, Herrmann WM, Winterer G (2003) The relationship between reaction time, error rate and anterior cingulate cortex activity. *Int J Psychophysiol* 47(2):175–183
- Mulert C, Jager L, Propp S, Karch S, Stormann S, Pogarell O, Moller HJ, Juckel G, Hegerl U (2005a) Sound level dependence of the primary auditory cortex: simultaneous measurement with 61-channel EEG and fMRI. *Neuroimage* 28(1):49–58
- Mulert C, Menzinger E, Leicht G, Pogarell O, Hegerl U (2005b) Evidence for a close relationship between conscious effort and anterior cingulate cortex activity. *Int J Psychophysiol* 56(1):65–80
- Mulert C, Leicht G, Pogarell O, Mergl R, Karch S, Juckel G, Moller HJ, Hegerl U (2007) Auditory cortex and anterior cingulate cortex sources of the early evoked gamma-band response: relationship to task difficulty and mental effort. *Neuropsychologia* 45(10):2294–2306
- Mulert C, Seifert C, Leicht G, Kirsch V, Ertl M, Karch S, Moosmann M, Lutz J, Moller HJ, Hegerl U et al (2008) Single-trial coupling of EEG and fMRI reveals the involvement of early anterior cingulate cortex activation in effortful decision making. *Neuroimage* 42(1):158–168
- Mulert C, Leicht G, Hepp P, Kirsch V, Karch S, Pogarell O, Reiser M, Hegerl U, Jager L, Moller HJ et al (2010) Single-trial coupling of the gamma-band response and the corresponding BOLD signal. *Neuroimage* 49(3):2238–2247
- Mulert C, Kirsch V, Pascual-Marqui R, McCarley RW, Spencer KM (2011) Long-range synchrony of gamma oscillations and auditory hallucination symptoms in schizophrenia. *Int J Psychophysiol* 79(1):55–63
- Nolte G (2003) The magnetic lead field theorem in the quasi-static approximation and its use for magnetoencephalography forward calculation in realistic volume conductors. *Phys Med Biol* 48(22):3637–3652
- Oostenveld R, Fries P, Maris E, Schoffelen JM (2011) FieldTrip: open source software for advanced analysis of MEG, EEG, and invasive electrophysiological data. *Comput Intell Neurosci* 2011:156869
- Palva S, Palva JM, Shtyrov Y, Kujala T, Ilmoniemi RJ, Kaila K, Naatanen R (2002) Distinct gamma-band evoked responses to speech and non-speech sounds in humans. *J Neurosci* 22(4):RC211
- Pandya DN, Van Hoesen GW, Mesulam MM (1981) Efferent connections of the cingulate gyrus in the rhesus monkey. *Exp Brain Res* 42(3–4):319–330
- Pantev C, Makeig S, Hoke M, Galambos R, Hampson S, Gallen C (1991) Human auditory evoked gamma-band magnetic fields. *Proc Natl Acad Sci USA* 88(20):8996–9000
- Pascual-Marqui RD (2007a) Discrete, 3D distributed, linear imaging methods of electric neuronal activity. Part 1: exact, zero error localization. arXiv:0710.3341 [math-ph]

- Pascual-Marqui RD (2007b) Instantaneous and lagged measurements of linear and nonlinear dependence between groups of multivariate time series: frequency decomposition. arXiv:0711.1455[stat.ME]
- Perez VB, Roach BJ, Woods SW, Srihari VH, McGlashan TH, Ford JM, Mathalon DH (2013) Early auditory gamma-band responses in patients at clinical high risk for schizophrenia. *Suppl Clin Neurophysiol* 62:147–162
- Perianez JA, Maestu F, Barcelo F, Fernandez A, Amo C, Ortiz Alonso T (2004) Spatiotemporal brain dynamics during preparatory set shifting: MEG evidence. *Neuroimage* 21(2):687–695
- Ribary U, Ioannides AA, Singh KD, Hasson R, Bolton JP, Lado F, Mogilner A, Llinas R (1991) Magnetic field tomography of coherent thalamocortical 40-Hz oscillations in humans. *Proc Natl Acad Sci USA* 88(24):11037–11041
- Roach BJ, Mathalon DH (2008) Event-related EEG time-frequency analysis: an overview of measures and an analysis of early gamma band phase locking in schizophrenia. *Schizophr Bull* 34(5):907–926
- Ross B, Picton TW, Pantev C (2002) Temporal integration in the human auditory cortex as represented by the development of the steady-state magnetic field. *Hear Res* 165(1–2):68–84
- Ross B, Herdman AT, Pantev C (2005) Right hemispheric laterality of human 40 Hz auditory steady-state responses. *Cereb Cortex* 15(12):2029–2039
- Roux F, Wibral M, Singer W, Aru J, Uhlhaas PJ (2013) The phase of thalamic alpha activity modulates cortical gamma-band activity: evidence from resting-state MEG recordings. *J Neurosci* 33(45):17827–17835
- Schadow J, Lenz D, Thaerig S, Busch NA, Frund I, Herrmann CS (2007) Stimulus intensity affects early sensory processing: sound intensity modulates auditory evoked gamma-band activity in human EEG. *Int J Psychophysiol* 65(2):152–161
- Schadow J, Lenz D, Dettler N, Frund I, Herrmann CS (2009) Early gamma-band responses reflect anticipatory top-down modulation in the auditory cortex. *Neuroimage* 47(2):651–658
- Sedley W, Teki S, Kumar S, Barnes GR, Bamiou DE, Griffiths TD (2012) Single-subject oscillatory gamma responses in tinnitus. *Brain* 135(Pt 10):3089–3100
- Senkowski D, Talsma D, Grigutsch M, Herrmann CS, Woldorff MG (2007) Good times for multisensory integration: effects of the precision of temporal synchrony as revealed by gamma-band oscillations. *Neuropsychologia* 45(3):561–571
- Shenhav A, Botvinick MM, Cohen JD (2013) The expected value of control: an integrative theory of anterior cingulate cortex function. *Neuron* 79(2):217–240
- Sheth SA, Mian MK, Patel SR, Asaad WF, Williams ZM, Dougherty DD, Bush G, Eskandar EN (2012) Human dorsal anterior cingulate cortex neurons mediate ongoing behavioural adaptation. *Nature* 488(7410):218–221
- Singer W (1999) Neuronal synchrony: a versatile code for the definition of relations? *Neuron* 24(1):49–65 **111–25**
- Srinivasan L, Asaad WF, Ginat DT, Gale JT, Dougherty DD, Williams ZM, Sejnowski TJ, Eskandar EN (2013) Action initiation in the human dorsal anterior cingulate cortex. *PLoS ONE* 8(2):e55247
- Steinmann S, Leicht G, Ertl M, Andreou C, Polomac N, Westhausen R, Friederici AD, Mulert C (2014) Conscious auditory perception related to long-range synchrony of gamma oscillations. *Neuroimage* 100:435–443
- Steinschneider M, Fishman YI, Arezzo JC (2008) Spectrotemporal analysis of evoked and induced electroencephalographic responses in primary auditory cortex (A1) of the awake monkey. *Cereb Cortex* 18(3):610–625
- Tiitinen H, Sinkkonen J, Reinikainen K, Alho K, Lavikainen J, Naatanen R (1993) Selective attention enhances the auditory 40-Hz transient response in humans. *Nature* 364(6432):59–60
- Tiitinen H, May P, Naatanen R (1997) The transient 40-Hz response, mismatch negativity, and attentional processes in humans. *Prog Neuropsychopharmacol Biol Psychiatry* 21(5):751–771
- Turken AU, Swick D (1999) Response selection in the human anterior cingulate cortex. *Nat Neurosci* 2(10):920–924
- Uhlhaas PJ, Singer W (2010) Abnormal neural oscillations and synchrony in schizophrenia. *Nat Rev Neurosci* 11(2):100–113
- Uhlhaas PJ, Singer W (2013) High-frequency oscillations and the neurobiology of schizophrenia. *Dialogues Clin Neurosci* 15(3):301–313
- van Wijk BC, Fitzgerald TH (2014) Thalamo-cortical cross-frequency coupling detected with MEG. *Front Hum Neurosci* 8:187
- Vogt BA (2009) Regions and subregions of the cingulate cortex. In: Vogt BA (ed) *Cingulate neurobiology and disease*. Oxford University Press Inc., New York, pp 3–30
- Vogt BA, Pandya DN (1987) Cingulate cortex of the rhesus monkey: II. Cortical afferents. *J Comp Neurol* 262(2):271–289
- Whittington MA, Traub RD, Jefferys JG (1995) Synchronized oscillations in interneuron networks driven by metabotropic glutamate receptor activation. *Nature* 373(6515):612–615

Presentation of the Article

Introduction

Gamma band frequency oscillations (30-100Hz) are seen as one of the fundamental electrophysiological processes involved in cognition and perception in the human brain (Singer 1999; Engel et al. 2001; Canolty et al. 2006; Fries et al. 2007; Basar 2013). The early auditory evoked gamma-band response (aeGBR) has been discovered by Pantev et al. (1991) and its generators have been localized within the auditory cortex. Furthermore, during a cognitively demanding auditory choice reaction task both EEG-based source localization (Mulert et al. 2007; Leicht et al. 2010) and single trial coupling of EEG and functional magnetic resonance imaging (Mulert et al. 2010) showed an additional aeGBR generator within the dorsal anterior cingulate cortex (dACC) and the medial prefrontal cortex. The aeGBR occurs within 100 ms upon the presentation of an auditory stimulus, and typically consists of oscillations in a frequency range around 40 Hz. These oscillations can be recorded with electroencephalography (EEG), magnetoencephalography (MEG) and electrocorticography (ECoG). Some of the properties of the aeGBR are: it is higher in response to target stimuli than to non-target stimuli (Debener et al. 2003); it is higher to louder sounds compared to soft ones (Schadow et al. 2007); difficult tasks elicit stronger aeGBRs than easy ones (Mulert et al. 2007); aeGBRs to speech sounds peak earlier in the left than in the right hemisphere, while the opposite occurs in response to non-speech stimuli (Palva et al. 2002). Moreover, not only sensory, but also attentional processing has been suggested to significantly contribute to the aeGBR (Tiitinen et al. 1993; Mulert et al. 2007; Leicht et al. 2010). Indeed, a close relationship to selective attention has been described for the 40 Hz aeGBR (Tiitinen et al. 1993; Tiitinen et al. 1997; Gurtubay et al. 2004; Senkowski et al. 2007). Modulation of the aeGBR has been suggested to reflect top-down attentional processing of auditory stimuli (Debener et al. 2003; Schadow et al. 2009). According to the “match-and-utilization” model (Herrmann et al. 2004), the aeGBR is augmented whenever stimulus-related information matches the information loaded into short-term memory. The aeGBR has been increasingly in the focus of interest in recent years, as several EEG (Johannesen et al. 2008; Leicht et al. 2010; Perez et al. 2013) and MEG (Hirano et al. 2008) studies have reported reduced power and phase locking of this response in patients suffering from schizophrenia. This has been shown across all stages of schizophrenia: during the prodromal phase (Perez et al. 2013; Leicht et al. 2016), in the first-episode (Taylor et al. 2013; Leicht et al. 2015) and in chronic patients (Roach and Mathalon 2008; Leicht et al. 2010) as well as in symptom-free first-degree relatives of patients (Leicht et al. 2011). Furthermore, a microcircuit involving parvalbumine positive-gamma-aminobutyric acid-containing (PV-GABAergic) interneurons and glutamatergic pyramidal cells has been shown to be crucially involved in the generation of gamma oscillations (Sohal et al. 2009). Several alterations of this microcircuit in schizophrenia mouse models have been reported, especially concerning the N-methyl-D-aspartate (NMDA) receptors of PV-GABAergic interneurons linking

this pathophysiological mechanism to the glutamate hypothesis of schizophrenia (Lisman et al. 2008). This idea was further developed and translated into human research (Gonzalez-Burgos and Lewis 2012; Uhlhaas and Singer 2013; McNally and McCarley 2016). Due to alterations of the aeGBR across all stages of schizophrenia and disturbances of the microcircuit involving PV-GABAergic interneurons and pyramidal cells, which are relevant for the generation of gamma oscillations, the reduced aeGBR has been suggested as a putative endophenotype for schizophrenia (Tsuang et al. 1993; Hall et al. 2011; Leicht et al. 2011).

Both EEG and MEG are able to pick up the signals generated by simultaneous firing of neural assemblies. These are functional entities emerging when a large population of spatially organized neurons (10 000-50 000 cells) fire synchronously (Murakami and Okada 2006). The cortical pyramidal neurons are spatially organized in the form of palisade, with the main axes of their dendritic branching parallel to each other and orthogonal to the cortical surface. Upon the activation of these neurons intra- and extracellular current flows are generated. The longitudinal components of these currents, parallel to the long axis of neuron sum up, while transverse components cancel out. This results in a current which is parallel to the long axis of neurons. Simultaneously, magnetic field emerges around and this current with the direction of the magnetic field vector determined by the right hand rule (Hämäläinen et al. 1993). Hence, local field potentials and local magnetic fields are generated and could be recorded with EEG and MEG, respectively. In order to reach scalp surface, electrical signals generated in the cortex, must travel through tissues with very different electrical properties. This distorts and reduces signal, especially in EEG. The magnetic fields are minimal influenced by interposed tissues. The MEG recording comparing to EEG alone brings a new information (Malmivuo 2012) by its selectivity to activity of the fissural cortex, i.e. tangential sources. The EEG on the other hand can be sensitive to either tangential or radial components of dipolar sources depending on the electrode configurations (Ahlfors et al. 2010). Cohen et al. used implanted sources to test source localization accuracy and found to be of the same order of magnitude for both methods (Cohen et al. 1990). Another study showed similar localization errors for both MEG and high-density EEG (256 electrodes), when similar numbers of sensors are considered for both modalities (Hedrich et al. 2017).

The present study in healthy subjects aimed to utilize these advantages of MEG in order to confirm EEG findings on the presence of the neural generators of the aeGBR in the dACC and auditory cortex, and to investigate, how task difficulty influences (1) putative generators of the aeGBR within the auditory cortex and the dACC, and (2) the functional connectivity between aeGBR generators; in order to further characterize a gamma-mediated attention network, which consistently has been reported to be disturbed in schizophrenia.

Materials and Methods

The detailed methodology is available in Polomac et al. (2015)

Participants

Thirteen right-handed healthy individuals (three females; mean age 25.7 ± 6.5 years, range 18 – 39 years) with no history of neurological or psychiatric disorders were included. The study was approved by the local ethics committee.

Paradigms

Two versions of an attentional auditory choice reaction task were administered, which had been used in previous studies of our group (Mulert et al. 2001; Mulert et al. 2003; Mulert et al. 2005b; Mulert et al. 2007; Mulert et al. 2008; Leicht et al. 2010): an easy (easy condition, EC) and an attentionally demanding version (difficult condition, DC). During the DC three tones of different pitches (800 Hz, 1000 Hz and 1200 Hz; 50 repetitions of each) were presented to participants through headphones. Participants were instructed to respond as quickly and as accurately as possible to the low tone by pressing a button with the left index finger, and to the high tone with the right index finger (target tones). Only trials with correct responses to target tones were considered for further analyses. The easy condition (EC) contained 100 tones with a pitch of 800 Hz to which subjects were requested to respond by left index finger button press.

MEG data acquisition

MEG was recorded continuously using a 275-channel whole-head system with a sampling rate of 1200 Hz (Omega 2000, CTF Systems Inc.) in a magnetically shielded room during. The Presentation[®] software (Version 16.1; Neurobehavioral Systems, USA) was used for stimulus presentation. The whole experiment was divided in two blocks: one DC- and one EC-Block.

Data preprocessing and aeGBR sensor level analysis

Data analysis was conducted in Matlab (MathWorks[®]) using the open source toolbox Fieldtrip (Oostenveld et al. 2011). The MEG data was segmented into 2-second trials centered around target auditory stimulus onset, filtered with a low-pass (160 Hz) and high-pass (30 Hz) filter. An independent component analysis (ICA) was used for artifact management. In order to depict a genuine topographic representation of the aeGBR, preprocessed averaged axial gradiometer data were transformed into planar gradiometer data (Bastiaansen and Knosche 2000) prior to a time–frequency analysis. Time-frequency analysis was performed using a sliding Hanning-window with 5 ms slide. Subsequently, frequency-wise baseline correction (subtraction) was applied for a pre-stimulus period of 500 ms.

aeGBR source localization

Head model, Cortical grid and leadfield

In order to construct head models for source localization, we used individual T1-weighted structural magnetic resonance imaging (MRI) data or standard “MNI152” brain template (if MRI data were not available). Individual head models for cortical grey matter were constructed in Fieldtrip using the realistic single shell method (Nolte 2003). The cortical grid was created on the cortical surface as a set of approx. 10000 source points. Of these, we selected 2839 points that were as equally distributed as possible across the brain surface, using an iterative loop. Every source point represented an equivalent current dipole in the individual source model. For every subject and condition, the head model, the individual source model and the sensor locations were used to calculate individual leadfield matrices.

Source localization of aeGBR power and regions of interest (ROI) analysis

The preprocessed single trials were band-pass filtered (35 – 45 Hz) and segmented from 30 to 80 ms (aeGBR segments) with respect to the stimulus onset. This aeGBR segments were averaged, Fourier transformed and multiplied with the individual leadfield matrices and eLORETA (exact low resolution brain electromagnetic tomography, Pascual-Marqui 2007a) spatial filter for every source point. The result represented the aeGBR power on source level, which was in next step baseline corrected. Finally, the obtained value (in further text power ratio) represented the increase of gamma power during the aeGBR relative to the baseline gamma activity at each source point. We used three different ROIs (Fig. 1 in Polomac et al. 2015) corresponding to the left and right auditory cortices and the dACC. The aeGBR power of a ROI was calculated as the mean of the power ratio values of all source points included in the ROI.

Estimation of connectivity between ROIs

Functional connectivity between ROIs was estimated using the lagged phase synchronization (LPS) measure (Pascual-Marqui 2007b). LPS is a measure of dependence between two multivariate time series in the frequency domain based on complex coherency. Using the FieldTrip software, preprocessed single trials were band-pass filtered (35 – 45 Hz), re-segmented to epochs from 30 to 80 ms after stimulus onset and Fourier transformed. For every MEG sensor, complex frequency domain data were used to build a cross-spectrum density matrix.

LPS analyses were performed with custom Matlab scripts according to the approach suggested by Pascual-Marqui (2007b). In order to map sensor level data onto the source space, we used a new 1-D spatial filter, i.e. we fixed the source orientation which was obtained during eLORETA source localization. Next, the cross-spectrum density matrix was multiplied with this spatial filter resulting in a source level cross-spectrum density matrix.

The source level cross-spectrum density matrix (complex valued) represents signal interactions among all source points for a particular frequency f . Using this matrix, the complex coherency and the LPS was calculated for each pair of source points. The mean LPS values between

auditory cortex ROIs and the dACC ROI for each subject and condition were used for the statistical comparison of conditions.

Statistics

All statistical analyses were performed in Matlab (MathWorks®). Differences between conditions with respect to head displacement, number of trials, reaction times, relative power increase in ROIs, and LPS values were assessed with dependent sample t-tests. Normal distribution of the respective variables was confirmed with the Shapiro-Wilk parametric test. Correlations between reaction times and aeGBR power in ROIs were assessed using Spearman's rho.

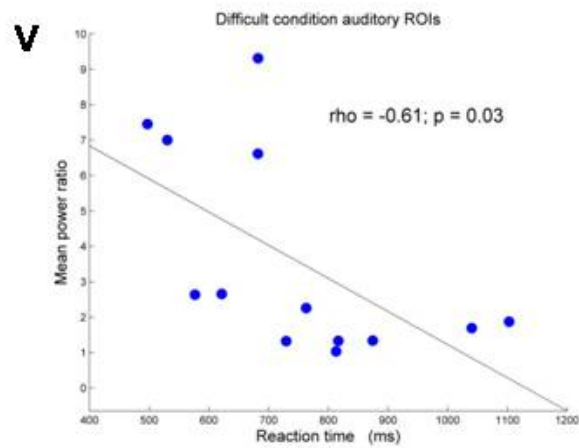
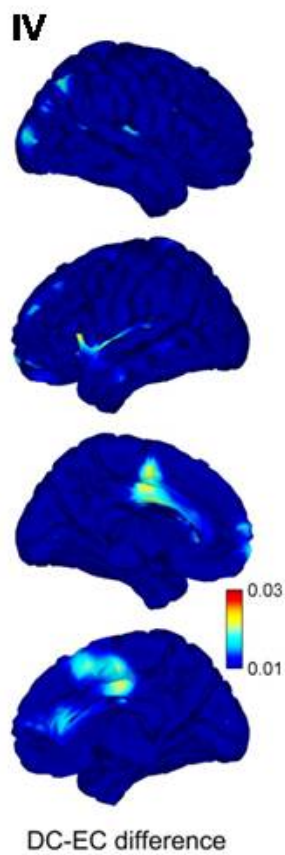
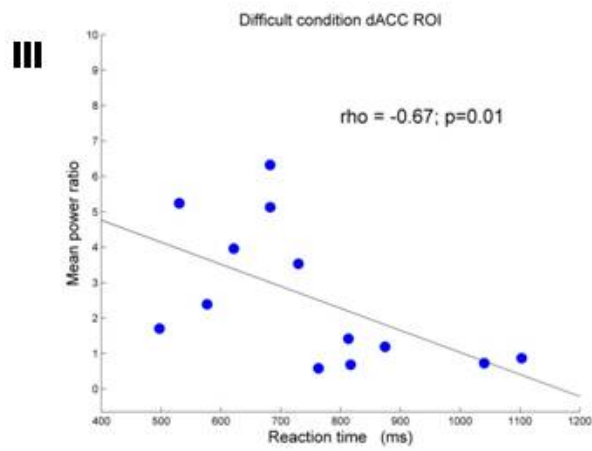
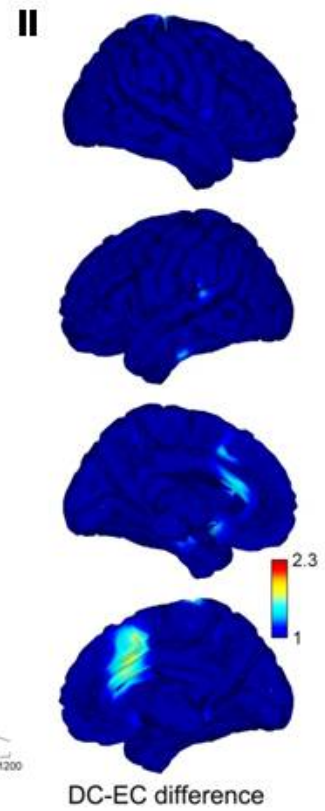
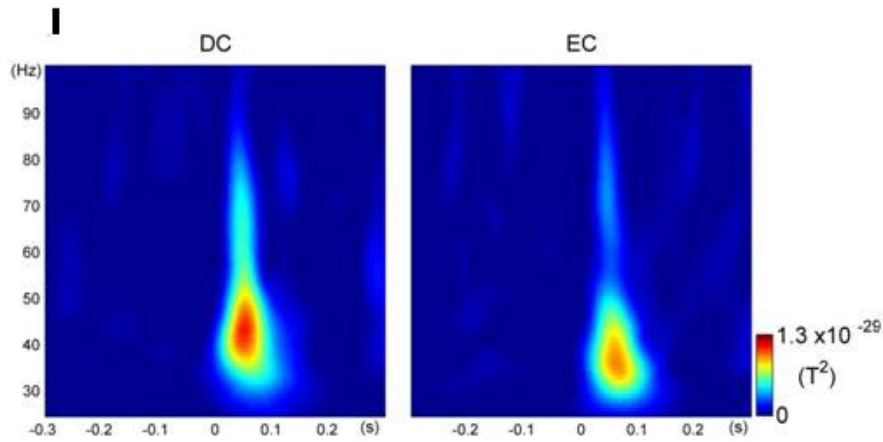
Results

There was a significant difference between conditions with respect to reaction times, which were significantly slower in DC (748.2 ± 182.8 ms) compared to EC (350.1 ± 64.2 ms; $t[12] = 9.5$; $p < 0.001$). MEG time–frequency analysis at the sensor level indicated an increase of gamma activity within the aeGBR time window compared to baseline period over temporal sensors in both conditions Fig. I. However aeGBR difference between conditions failed to achieve significance level.

Source localization of the aeGBR with eLORETA revealed the highest activity within the bilateral superior temporal gyri and in frontal midline structures of the brain including the cingulate gyrus, the medial frontal gyrus and the supplementary motor area in both conditions (Table 1 in (Polomac et al. 2015)). Inspection of aeGBR activity patterns in the two conditions indicated higher activity in DC than in EC within the dACC (Fig. II). This impression was confirmed by the ROI analysis, which revealed significantly higher gamma activity in the dACC ROI in DC compared to EC (mean power ratio compared to baseline: 2.6 in DC vs. 1.4 in EC; $t[12] = 2.51$; $p = 0.03$; Fig. 4 in (Polomac et al. 2015)). No significant differences were found between conditions with respect to left and right auditory cortex ROIs.

In DC, but not in EC, the activity of the dACC ROI (Spearman's rho = -0.67, $p = 0.01$, bootstrap CI = -0.431 to -0.881; Fig. V) and the mean activity of both auditory cortex ROIs (Spearman's rho = -0.615, $p = 0.03$, bootstrap CI = -0.343 to -0.846) inversely correlated with reaction times (Fig. III).

Fig. IV depicts the DC minus EC-LPS difference between the combined bilateral auditory cortices ROIs (seed) and all other source points in the aeGBR time interval. Inspection of the two conditions indicated increased connectivity of the auditory cortex with frontal midline structures of the brain including the dACC in DC compared to EC (Table 2 in (Polomac et al. 2015)). ROI analysis indicated significantly higher functional connectivity between combined auditory cortices and the dACC in DC (LPS value: 0.06 in DC vs. 0.049 in EC; $t[12] = 3$; $p = 0.01$; Figure 7. in (Polomac et al. 2015)).



Discussion

The present study used MEG to investigate the aeGBR and its sources in healthy subjects. The two versions of the paradigm differed with respect to their demands on the cognitive system (Mulert et al. 2007), as in DC subjects had to distinguish among three different tones. Consistent with our hypotheses, we found MEG neural generators in bilateral auditory cortices and in frontal midline structures of the brain. The frontal midline generator was present during both conditions (see first and second column of Fig. 3 in Polomac et al. 2015), but it showed substantially stronger activity in the difficult condition. According to the comparison between conditions (Fig. II), the region that contributed most to the frontal midline generator was the dACC, while ROI analysis confirmed significantly greater aeGBR power increase in the dACC in difficult compared to the easy condition (Fig. 4 in Polomac et al. 2015).

Functional connectivity analyses also showed significantly stronger functional connectivity between the auditory cortices ROIs and the dACC ROI in the difficult compared to the easy condition (Fig. IV). This was specifically the case for the connection between auditory cortex and dACC as no increase of LPS was found neither between dACC control ROIs nor between auditory cortex and control ROIs. Analysis of behavioral results (Fig. III) suggested an inverse correlation between aeGBR power in the dACC and reaction times in the difficult, but not in the easy condition. The same inverse correlation pattern was observed for aeGBR power in auditory cortices and reaction times in the difficult condition (Fig. V). These results are in line with findings from previous EEG (Mulert et al. 2007) and simultaneous EEG-fMRI (Mulert et al. 2010) studies. Moreover, it provides MEG evidence in support of the hypothesis that the dACC is implicated in effortful processing of auditory stimuli. This appears to be a specific effect of the dACC, as we found no difference in aeGBR between conditions for the auditory cortex ROIs.

The dACC is a multifunctional structure involved in a variety of cognitive tasks. Being the subject of a large body of neuroscientific research, this region has been associated with a variety of functions, e.g. acceleration of reaction times (Bush et al. 1998), conflict monitoring (Botvinick et al. 1999), response selection (Turken and Swick 1999), reward-based decision-making (Bush et al. 2002), error detection (Fiehler et al. 2004), mediation of behavioral adaptation based on the assessment of outcome of own actions (Sheth et al. 2012), selection and maintenance of behaviors (Holroyd and Yeung 2012), and action initiation (Srinivasan et al. 2013). Given the variability of the suggested functions of the dACC, considerable efforts have been made to unify these functions into one single model that could reconcile results across different research fields and paradigms. Functional neuroimaging studies have typically interpreted the function of the dACC mainly through its involvement in cognitive processes and reinforcement learning, while neuropsychological studies in patients with cerebral lesions have suggested a role of the dACC in motivating and "energizing" behavior (Holroyd and Yeung 2012).

It is of special interest to shortly discuss how recent models of dACC function explain its involvement in a mental effort demanding task such as used in the present study. Holroyd and Yeung (2012) suggested "the actor-critic model" that draws upon hierarchical reinforcement

learning theory. According to these authors, the dACC is part of a larger behavioral network that plays a role in selection and maintenance of a given action (rather than conflict monitoring), as well as in sustaining effortful behavior (Holroyd and Yeung 2012). Another relevant model proposed by Shenhav et al. (2013) postulates an involvement of the dACC in cognitive control through (a) coding the “expected value” (payoff) of controlled processes, (b) computing the amount of control that needs to be invested in order to achieve this payoff, and (c) estimating the cost in terms of cognitive effort required (Shenhav et al. 2013). According to this model, the role of the dACC is to maximize the expected value of control, taking into account the above three variables. In line with these models of ACC function previous EEG and simultaneous EEGfMRI studies using the same or slightly modified tasks as compared to the present study have reported significant associations between dACC activity and conscious mental effort (Mulert et al. 2005a; Mulert et al. 2008) as well as passive mental effort demands (Mulert et al. 2007; Mulert et al. 2010). The latter investigated the dACC as a generator of the aeGBR. Moreover, subjects performing the task used here showed increased N100 amplitude and dACC N100 source activity in relation with faster reaction times (Mulert et al. 2003; Mulert et al. 2005a). A similar association was noted in the present study between dACC aeGBR activity and improved performance. Thus, the present study confirms and expands previous EEG findings using an MEG-based approach.

Accordingly, the location of the dACC ROI used in the present study was chosen based on our previous work investigating the aeGBR with simultaneous measurement of EEG and fMRI (Mulert et al. 2010). This location is well in line with the location of the maximum difference between conditions within the ACC obtained from the eLORETA aeGBR power analysis (Fig. II), although a more posterior location of ACC activity is visible in the separate analysis of conditions. Given the resolution of the MEG the analysis of dACC and auditory cortex ROIs might have been confounded by activity of surrounding brain regions. However, both EEG-based source localization (Mulert et al. 2007; Leicht et al. 2010) and single trial EEG-fMRI coupling (Mulert et al. 2010) suggested generators of the aeGBR within the dACC and the bilateral auditory cortices.

The present findings are in line with the notion of gamma-band long-range connectivity (Buzsaki and Wang 2012; Singer 1999; Uhlhaas and Singer 2013). As a cautionary note, it should be mentioned that our findings do not constitute direct proof for a top-down involvement of the dACC. They do nevertheless suggest an early synchronization of sensory and monitoring regions during the effortful processing of auditory stimuli. According to the “match and utilization model”, stimuli that match previous memory content (in this case, target stimuli) are more salient than non-target stimuli (Herrmann et al. 2004). Selective attention towards a relevant feature of stimulus (in this case, pitch frequency) leads to generation of top-down signals that drive the synchronization of sub-threshold oscillations of feature-selective neural assemblies. Therefore, the augmentation of the aeGBR, generated in the auditory cortices and the dACC, might represent the means through which selective attention exerts its top-down influence on the primary auditory cortex.

With respect to psychiatry neuroimaging research, gamma oscillations such as the aeGBR have recently more and more been discussed in the light of a pathophysiological mechanism underlying schizophrenia. Reviewing electrophysiological studies there is a large body of

evidence demonstrating decreased gamma band power and phase locking during sensory processes in patients suffering from schizophrenia (Hirano et al. 2008; Johannesen et al. 2008; Leicht et al. 2010; Perez et al. 2013; Leicht et al. 2016). Especially, the aeGBR has been shown to be reduced across all stages of the disease (Leicht et al. 2010; Leicht et al. 2011; Leicht et al. 2015; Leicht et al. 2016). The generation of gamma oscillations in cortical circuits appears to be dependent on inhibitory neurotransmission from PV-GABAergic interneurons (Cardin et al. 2009; Kim et al. 2015). This inhibition of pyramidal cells through PV-GABAergic interneurons is a very efficient way of synchronizing large numbers of cortical pyramidal cells into neural assemblies, which generate electromagnetic fields measurable by EEG and MEG. These interneurons are reciprocally excitatory innervated from pyramidal cells via NMDA-receptor synapses (Gonzalez-Burgos and Lewis 2008). Thus, the NMDA receptor hypofunction and/or the impairment of PV-GABAergic interneurons known to be present in patients with schizophrenia have been proposed as a plausible pathological substrate for altered gamma oscillations in schizophrenia. The disturbed interplay within this neuronal microcircuit is considered nowadays to be a key pathophysiological mechanism underlying the pathophysiology of schizophrenia (Gonzalez-Burgos and Lewis 2012; Uhlhaas and Singer 2015; McNally and McCarley 2016).

In conclusion, our study confirmed an MEG generator of the aeGBR in the dACC. Increased activity of this 40Hz generator was associated with faster reaction times, dependent on the demands placed by the sensory task on the cognitive system. In the same time window and frequency, functional connectivity between auditory cortices and the dACC was increased with mental effort. This finding represents a next piece of the puzzle in characterization of the aeGBR, which may substantially contribute to the applicability of aeGBR alterations as a putative biomarker in schizophrenia research.

Abstract

High frequency oscillations in the gamma range are known to be involved in early stages of auditory information processing in terms of synchronization of brain regions, e.g. in cognitive functions. It has been shown using EEG source localization as well as simultaneously recorded EEG-fMRI, that the auditory evoked gamma-band response (aeGBR) is modulated by attention. In addition to auditory cortex activity a dorsal anterior cingulate cortex (dACC) generator could be involved. In the present study we investigated aeGBR magnetic fields using magnetoencephalography (MEG).

We aimed to localize the aeGBR sources and its connectivity features in relation to mental effort. We investigated the aeGBR magnetic fields in 13 healthy participants using a 275-channel CTF-MEG system. The experimental paradigms were two attentional auditory choice reaction tasks with different cognitive demands. We performed source localization with eLORETA and calculated the aeGBR lagged phase synchronization between bilateral auditory cortices and frontal midline structures.

The eLORETA analysis revealed sources of the aeGBR within bilateral auditory cortices and in frontal midline structures of the brain including the dACC. Compared to the control condition the dACC source activity was found to be significantly stronger during the performance of the cognitively demanding task. Moreover, this task involved a significantly stronger functional connectivity between auditory cortices and the dACC.

In accordance with previous EEG and EEG-fMRI investigations our study confirms an aeGBR generator within the dACC by means of MEG and suggests its involvement in the effortful processing of auditory stimuli.

Zusammenfassung

Es ist bekannt, dass hochfrequente Oszillationen im Gamma-Bereich in frühen Stadien der auditorischen Informationsverarbeitung im Hinblick auf die Synchronisation von Hirnregionen beteiligt sind, z. B. bei kognitiven Funktionen. Es wurde anhand von EEG-Quellenlokalisierung sowie mittels simultaner EEG-fMRT-Untersuchungen gezeigt, dass die auditorisch evozierte Gamma-Band-Antwort (aeGBA) durch die Aufmerksamkeit moduliert wird. Zusätzlich zu einer aeGBA-Quelle im auditorischen Kortex wird eine Quelle im dorsalen anterioren cingulären Kortex (dACC) diskutiert, die für eine Zunahme der aeGBA bei zunehmender kognitiver Anforderung verantwortlich sein könnte. In der vorliegenden Arbeit soll dies und eine mögliche Interaktion zwischen den Quellen im Hörkortex und einer möglichen frontalen aeGBA-Quelle mittels Magnetoenzephalographie (MEG) untersucht werden.

Wir untersuchten die aeGBA-Magnetfelder bei 13 gesunden Teilnehmern unter Verwendung eines 275-Kanal-CTF-MEG-Systems. Die experimentellen Paradigmen waren zwei auditorische Wahlreaktionsaufgaben mit unterschiedlichen Schwierigkeiten und Anforderungen an die Aufmerksamkeit. Wir führten eine Quellenlokalisierung mit eLORETA durch und untersuchten die Konnektivität zwischen auditorischem Kortex und frontalen Mittellinienstrukturen während der aeGBA. Die eLORETA-Analyse zeigte Quellen der aeGBA innerhalb der auditorischen Kortizes sowie in frontalen Mittellinienstrukturen des Gehirns einschließlich des dACC. Im Vergleich zur Kontrollbedingung war die dACC-Quellenaktivität während der Durchführung der aufmerksamkeitsanspruchsvollen Aufgabe signifikant stärker. Darüber führte diese Aufgabe zu einer signifikant höheren funktionellen Konnektivität zwischen auditorischem Kortex und dACC. In Übereinstimmung mit früheren EEG- und EEG-fMRT-Ergebnissen bestätigt unsere Studie einen aeGBA-Generator im dACC mittels MEG und weist auf eine Beteiligung des dACC in der auditorischen Informationsverarbeitung unter kognitiver Beanspruchung hin.

References

- Ahlfors, S. P., J. Han, et al. (2010). "Sensitivity of MEG and EEG to source orientation." Brain Topography **23**(3): 227-232.
- Basar, E. (2013). "A review of gamma oscillations in healthy subjects and in cognitive impairment." Int J Psychophysiol **90**(2): 99-117.
- Bastiaansen, M. C. M. and T. R. Knosche (2000). "Tangential derivative mapping of axial MEG applied to event-related desynchronization research." Clinical Neurophysiology **111**(7): 1300-1305.
- Botvinick, M., L. E. Nystrom, et al. (1999). "Conflict monitoring versus selection-for-action in anterior cingulate cortex." Nature **402**(6758): 179-181.
- Bush, G., B. A. Vogt, et al. (2002). "Dorsal anterior cingulate cortex: a role in reward-based decision making." Proc Natl Acad Sci U S A **99**(1): 523-528.
- Bush, G., P. J. Whalen, et al. (1998). "The counting Stroop: an interference task specialized for functional neuroimaging--validation study with functional MRI." Hum Brain Mapp **6**(4): 270-282.
- Canolty, R. T., E. Edwards, et al. (2006). "High gamma power is phase-locked to theta oscillations in human neocortex." Science **313**(5793): 1626-1628.
- Cardin, J. A., M. Carlen, et al. (2009). "Driving fast-spiking cells induces gamma rhythm and controls sensory responses." Nature **459**(7247): 663-667.
- Cohen, D., B. N. Cuffin, et al. (1990). "Meg Versus Eeg Localization Test Using Implanted Sources in the Human Brain." Annals of Neurology **28**(6): 811-817.
- Debener, S., C. S. Herrmann, et al. (2003). "Top-down attentional processing enhances auditory evoked gamma band activity." Neuroreport **14**(5): 683-686.
- Engel, A. K., P. Fries, et al. (2001). "Dynamic predictions: oscillations and synchrony in top-down processing." Nat Rev Neurosci **2**(10): 704-716.
- Fiehler, K., M. Ullsperger, et al. (2004). "Neural correlates of error detection and error correction: is there a common neuroanatomical substrate?" Eur J Neurosci **19**(11): 3081-3087.
- Fries, P., D. Nikolic, et al. (2007). "The gamma cycle." Trends Neurosci **30**(7): 309-316.
- Gonzalez-Burgos, G. and D. A. Lewis (2008). "GABA neurons and the mechanisms of network oscillations: implications for understanding cortical dysfunction in schizophrenia." Schizophr Bull **34**(5): 944-961.
- Gonzalez-Burgos, G. and D. A. Lewis (2012). "NMDA receptor hypofunction, parvalbumin-positive neurons, and cortical gamma oscillations in schizophrenia." Schizophr Bull **38**(5): 950-957.
- Gurtubay, I. G., M. Alegre, et al. (2004). "Gamma band responses to target and non-target auditory stimuli in humans." Neurosci Lett **367**(1): 6-9.
- Hall, M. H., G. Taylor, et al. (2011). "The Early Auditory Gamma-Band Response Is Heritable and a Putative Endophenotype of Schizophrenia." Schizophrenia Bulletin **37**(4): 778-787.
- Hämäläinen, M., R. Hari, et al. (1993). "Magnetoencephalography - Theory, Instrumentation, and Applications to Noninvasive Studies of the Working Human Brain." Reviews of Modern Physics **65**(2): 413-497.
- Hedrich, T., G. Pellegrino, et al. (2017). "Comparison of the spatial resolution of source imaging techniques in high-density EEG and MEG." Neuroimage **157**: 531-544.
- Herrmann, C. S., M. H. Munk, et al. (2004). "Cognitive functions of gamma-band activity: memory match and utilization." Trends Cogn Sci **8**(8): 347-355.

- Hirano, S., Y. Hirano, et al. (2008). "Abnormal neural oscillatory activity to speech sounds in schizophrenia: a magnetoencephalography study." J Neurosci **28**(19): 4897-4903.
- Holroyd, C. B. and N. Yeung (2012). "Motivation of extended behaviors by anterior cingulate cortex." Trends Cogn Sci **16**(2): 122-128.
- Johannesen, J. K., M. Bodkins, et al. (2008). "Perceptual anomalies in schizophrenia co-occur with selective impairments in the gamma frequency component of midlatency auditory ERPs." J Abnorm Psychol **117**(1): 106-118.
- Kim, T., S. Thankachan, et al. (2015). "Cortically projecting basal forebrain parvalbumin neurons regulate cortical gamma band oscillations." Proc Natl Acad Sci U S A **112**(11): 3535-3540.
- Leicht, G., C. Andreou, et al. (2015). "Reduced auditory evoked gamma band response and cognitive processing deficits in first episode schizophrenia." World Journal of Biological Psychiatry **16**(6): 387-397.
- Leicht, G., S. Karch, et al. (2011). "Alterations of the early auditory evoked gamma-band response in first-degree relatives of patients with schizophrenia: hints to a new intermediate phenotype." J Psychiatr Res **45**(5): 699-705.
- Leicht, G., V. Kirsch, et al. (2010). "Reduced early auditory evoked gamma-band response in patients with schizophrenia." Biol Psychiatry **67**(3): 224-231.
- Leicht, G., S. Vauth, et al. (2016). "EEG-Informed fMRI Reveals a Disturbed Gamma-Band-Specific Network in Subjects at High Risk for Psychosis." Schizophrenia Bulletin **42**(1): 239-249.
- Lisman, J. E., J. T. Coyle, et al. (2008). "Circuit-based framework for understanding neurotransmitter and risk gene interactions in schizophrenia." Trends Neurosci **31**(5): 234-242.
- Malmivuo, J. (2012). "Comparison of the properties of EEG and MEG in detecting the electric activity of the brain." Brain Topography **25**(1): 1-19.
- McNally, J. M. and R. W. McCarley (2016). "Gamma band oscillations: a key to understanding schizophrenia symptoms and neural circuit abnormalities." Current Opinion in Psychiatry **29**(3): 202-210.
- Mulert, C., J. Gallinat, et al. (2003). "The relationship between reaction time, error rate and anterior cingulate cortex activity." Int J Psychophysiol **47**(2): 175-183.
- Mulert, C., J. Gallinat, et al. (2001). "Reduced event-related current density in the anterior cingulate cortex in schizophrenia." Neuroimage **13**(4): 589-600.
- Mulert, C., L. Jager, et al. (2005b). "Sound level dependence of the primary auditory cortex: Simultaneous measurement with 61-channel EEG and fMRI." Neuroimage **28**(1): 49-58.
- Mulert, C., G. Leicht, et al. (2010). "Single-trial coupling of the gamma-band response and the corresponding BOLD signal." Neuroimage **49**(3): 2238-2247.
- Mulert, C., G. Leicht, et al. (2007). "Auditory cortex and anterior cingulate cortex sources of the early evoked gamma-band response: relationship to task difficulty and mental effort." Neuropsychologia **45**(10): 2294-2306.
- Mulert, C., E. Menzinger, et al. (2005a). "Evidence for a close relationship between conscious effort and anterior cingulate cortex activity." International Journal of Psychophysiology **56**(1): 65-80.
- Mulert, C., C. Seifert, et al. (2008). "Single-trial coupling of EEG and fMRI reveals the involvement of early anterior cingulate cortex activation in effortful decision making." Neuroimage **42**(1): 158-168.
- Murakami, S. and Y. Okada (2006). "Contributions of principal neocortical neurons to magnetoencephalography and electroencephalography signals." Journal of Physiology-London

575(3): 925-936.

- Nolte, G. (2003). "The magnetic lead field theorem in the quasi-static approximation and its use for magnetoencephalography forward calculation in realistic volume conductors." Phys Med Biol **48**(22): 3637-3652.
- Oostenveld, R., P. Fries, et al. (2011). "FieldTrip: Open source software for advanced analysis of MEG, EEG, and invasive electrophysiological data." Comput Intell Neurosci **2011**: 156869.
- Palva, S., J. M. Palva, et al. (2002). "Distinct gamma-band evoked responses to speech and non-speech sounds in humans." The Journal of neuroscience : the official journal of the Society for Neuroscience **22**(4): RC211.
- Pantev, C., S. Makeig, et al. (1991). "Human auditory evoked gamma-band magnetic fields." Proc Natl Acad Sci U S A **88**(20): 8996-9000.
- Pascual-Marqui, R. D. (2007a). "Discrete, 3D distributed, linear imaging methods of electric neuronal activity. Part 1: exact, zero error localization." arXiv:0710.3341 [math-ph].
- Pascual-Marqui, R. D. (2007b). "Instantaneous and lagged measurements of linear and nonlinear dependence between groups of multivariate time series: frequency decomposition." arXiv:0711.1455[stat.ME].
- Perez, V. B., B. J. Roach, et al. (2013). "Early auditory gamma-band responses in patients at clinical high risk for schizophrenia." Suppl Clin Neurophysiol **62**: 147-162.
- Polomac, N., G. Leicht, et al. (2015). "Generators and Connectivity of the Early Auditory Evoked Gamma Band Response." Brain Topogr **28**(6): 865-878.
- Roach, B. J. and D. H. Mathalon (2008). "Event-related EEG time-frequency analysis: an overview of measures and an analysis of early gamma band phase locking in schizophrenia." Schizophr Bull **34**(5): 907-926.
- Schadow, J., D. Lenz, et al. (2009). "Early gamma-band responses reflect anticipatory top-down modulation in the auditory cortex." Neuroimage **47**(2): 651-658.
- Schadow, J., D. Lenz, et al. (2007). "Stimulus intensity affects early sensory processing: sound intensity modulates auditory evoked gamma-band activity in human EEG." Int J Psychophysiol **65**(2): 152-161.
- Senkowski, D., D. Talsma, et al. (2007). "Good times for multisensory integration: Effects of the precision of temporal synchrony as revealed by gamma-band oscillations." Neuropsychologia **45**(3): 561-571.
- Sheth, S. A., M. K. Mian, et al. (2012). "Human dorsal anterior cingulate cortex neurons mediate ongoing behavioural adaptation." Nature **488**(7410): 218-221.
- Singer, W. (1999). "Neuronal synchrony: a versatile code for the definition of relations?" Neuron **24**(1): 49-65, 111-125.
- Sohal, V. S., F. Zhang, et al. (2009). "Parvalbumin neurons and gamma rhythms enhance cortical circuit performance." Nature **459**(7247): 698-702.
- Srinivasan, L., W. F. Asaad, et al. (2013). "Action initiation in the human dorsal anterior cingulate cortex." PLoS One **8**(2): e55247.
- Taylor, G. W., R. W. McCarley, et al. (2013). "Early auditory gamma band response abnormalities in first hospitalized schizophrenia." Suppl Clin Neurophysiol **62**: 131-145.
- Tiitinen, H., P. May, et al. (1997). "The transient 40-Hz response, mismatch negativity, and attentional processes in humans." Prog Neuropsychopharmacol Biol Psychiatry **21**(5): 751-771.
- Tiitinen, H., J. Sinkkonen, et al. (1993). "Selective attention enhances the auditory 40-Hz transient

- response in humans." Nature **364**(6432): 59-60.
- Tsuang, M. T., S. V. Faraone, et al. (1993). "Identification of the phenotype in psychiatric genetics." Eur Arch Psychiatry Clin Neurosci **243**(3-4): 131-142.
- Turken, A. U. and D. Swick (1999). "Response selection in the human anterior cingulate cortex." Nat Neurosci **2**(10): 920-924.
- Uhlhaas, P. J. and W. Singer (2013). "High-frequency oscillations and the neurobiology of schizophrenia." Dialogues Clin Neurosci **15**(3): 301-313.
- Uhlhaas, P. J. and W. Singer (2015). "Oscillations and Neuronal Dynamics in Schizophrenia: The Search for Basic Symptoms and Translational Opportunities." Biological Psychiatry **77**(12): 1001-1009.

Author's Contributions

N.P., G.L., S.S. and C.A. performed the MEG measurements.

G.L., S.S. and C.A. did the subject recruitment.

G.L., G.N., T.S., A.E. and C.M. were involved in planning and supervised the work.

N.P., G.L. and C.A. processed the experimental data, performed the analysis, drafted the manuscript and designed the figures.

G.N., T.S. and N.P. provided Matlab Scripts.

A.E. and C.M. aided in interpreting the results and worked on the manuscript.

G.L., C.M. and A.E. secured funding.

All authors discussed the results and commented on the manuscript.

Acknowledgments

This work has been supported by DFG, SFB 936 "Multi-Site Communication in the Brain", project (SFB936/C6/A3/Z1).

I would like to thank:

Prof. Dr. Christoph Mulert,

Prof. Dr. Andreas K. Engel,

PD Dr. Gregor Leicht,

PD Dr. Christina Andreou,

Dr. Guido Nolte,

Dr. Till R. Schneider,

and Dr. Saskia Steinmann for very kind cooperation and support on this project.

I owe special thanks to Prof. Dr. Hans Joachim Seitz for support and motivation and to my spouse Ana and daughter Jana for encouragement and patience.

Curriculum Vitae

Curriculum Vitae wurde aus datenschutzrechtlichen Gründen entfernt.

Eidesstattliche Versicherung

Ich versichere ausdrücklich, dass ich die Arbeit selbständig und ohne fremde Hilfe verfasst, andere als die von mir angegebenen Quellen und Hilfsmittel nicht benutzt und die aus den benutzten Werken wörtlich oder inhaltlich entnommenen Stellen einzeln nach Ausgabe (Auflage und Jahr des Erscheinens), Band und Seite des benutzten Werkes kenntlich gemacht habe.

Ferner versichere ich, dass ich die Dissertation bisher nicht einem Fachvertreter an einer anderen Hochschule zur Überprüfung vorgelegt oder mich anderweitig um Zulassung zur Promotion beworben habe.

Ich erkläre mich einverstanden, dass meine Dissertation vom Dekanat der Medizinischen Fakultät mit einer gängigen Software zur Erkennung von Plagiaten überprüft werden kann.

Unterschrift:

Nevad Polomac
Nevad Polomac